



**Isle of Man
Financial Supervision Commission
Financial Services Act 2008 – Licence**

BNP Paribas Securities Services SCA

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 1 – deposit taking;
- Class 2 – investment business – sub-classes (3) and (5); and
- Class 3 – services to collective investment schemes – sub-classes (5) and (11).

(a) acting as custodian under Class 3 – sub-class (11) to collective investment schemes which are exempt schemes or exempt type schemes.



Financial Supervision Commission

Chief Executive

10 February 2014



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Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following modifications:

Modifications

Part 2 – Financial Resources and Reporting

(a) Rule 2.9(1) Annual Financial Return

A licenceholder must provide to the Commission an annual financial return as soon as it is available, and in any case, within 4 months of the licenceholder's annual reporting date, with the exception of the licenceholder's audited annual financial statements which must be provided within 6 months of the licenceholder's annual reporting date.

Chief Executive

Financial Supervision Commission

10 February 2014