

# Legis Fund Services (IOM) Limited

## Schedule I - Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

I. The licenceholder is permitted to conduct the following regulated activities:-

- Class 3 – services to collective investment schemes – sub-classes (1), (2), (10), (11) and (12)
  - (a) acting as manager under Class 3 sub-class (1) of Full International Schemes as listed below:

None
  - (b) acting as manager under Class 3 – sub-class (1) of Qualifying Funds, Specialist Funds and Overseas Funds;
  - (c) acting as administrator under Class 3 – sub-class (2) of Qualifying Funds, Specialist Funds and Overseas Funds; and
  - (d) acting as manager or administrator under Class 3 – sub-class (11) to collective investment schemes which are exempt scheme or exempt type schemes.
- Class 4 – corporate services – sub-classes (1), (3), (6), (7), (10), (11) and (12) in respect of collective investment schemes as defined in the Collective Investment Schemes Act 2008 and subsidiaries and associated entities of such schemes, including the establishment and winding-up of such entities.
- Class 4 – corporate services – sub-classes (1), (3), (6), (7), (9) and (10) in respect of closed ended investment companies and subsidiaries and associated entities of such companies, including the establishment and winding-up of such entities.

\_\_\_\_\_ Chief Executive

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15<sup>th</sup> April 2010