



Financial Services Act 2008 – Licence

Fiera Capital (IOM) Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 2 – investment business - sub-classes (3), (4), (6) and (7);
- Class 3 – services to collective investment schemes – sub-classes (6) and (7);
- Class 4 – corporate services – sub-classes (1) to (15) in respect of collective investment schemes as defined in the Collective Investment Schemes Act 2008 and subsidiaries and associated entities of such schemes, including the establishment and winding-up of such entities; and
- Class 4 – corporate services – sub-classes (1) to (15) in respect of closed ended investment companies and subsidiaries and associated entities of such companies, including the establishment and winding-up of such entities.

A handwritten signature in blue ink, appearing to read 'D. W. ...', written over a horizontal line.

Head of Division Banking, Funds and Investments

Isle of Man Financial Services Authority

4 December 2017