

# Close International Asset Management Limited

## Schedule I - Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder is permitted to conduct the following regulated activities:-
  - Class 2 – investment business – sub-classes (3),(6) and (7); and
  - Class 3 – services to collective investment schemes – sub-class (6) only
2. The licenceholder shall comply with the rules laid down by the Jersey Financial Services Commission in respect of financial resources, financial returns, financial records and audit.

\_\_\_\_\_ Commissioner

\_\_\_\_\_ Commissioner

1<sup>st</sup> January 2009

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## Schedule 2 - Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies within the following exceptions -

### Part 2 – Financial Resources and Reporting

- (a) Rules 2.3 to 2.11 inclusive
- (b) Rule 2.19 – Contents of annual financial return
- (c) Rule 2.31 – Solvency
- (d) Rules 2.33 to 2.35 inclusive

### Part 5 – Audit

- (a) Rule 5.19 – Application
- (b) Rule 5.20 – Content of audit report

\_\_\_\_\_ Commissioner

\_\_\_\_\_ Commissioner

1<sup>st</sup> January 2009