



Financial Services Act 2008 – Licence

Hockney Stevens Investment Services Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 2 – investment business – sub-classes (3) and (7);
2. The licenceholder is not permitted to hold client money or client assets.

A handwritten signature in black ink, appearing to read 'D. Hockney', written over a horizontal line.

Head of Division Banking, Funds and Investments

Isle of Man Financial Services Authority

2 October 2017



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

Lught-Reill Shirveishyn Argidoil Ellan Vannin

REGISTRATION

To carry on the Business of Insurance Intermediary

Number: 83

Pursuant to Section 25 of the Insurance Act 2008 (as amended) the Isle of Man
Financial Services Authority hereby registers

Hockney Stevens Investment Services Limited

(Companies Registry Number: 101829C)

of

Kerrowglass
Stockfield Road
Kirk Michael
Isle of Man
IM6 1HP

To carry on the business of Insurance Intermediary (General Business) in or from the
Isle of Man.

This registration shall take effect on 31 December 2020.

Wendy Sayer
Senior Manager – Non Life and Life Assurance





ISLE OF MAN FINANCIAL SERVICES AUTHORITY

Lught-Reill Shirveishyn Argidoil Ellan Vannin

NOTICE OF CONDITIONS IMPOSED BY THE ISLE OF MAN FINANCIAL SERVICES AUTHORITY ON HOCKNEY STEVENS INVESTMENT SERVICES LIMITED (“THE COMPANY”)

Using the powers available to the Isle of Man Financial Services Authority (“the Authority”) under Section 25(5)(a) of the Insurance Act 2008 (“the Act”) the Authority imposes the following conditions on the Company’s ongoing registration as a General Insurance Intermediary under Section 25 of the Act:

Condition

The Company is only permitted to intermediate on the following types of pure protection products:

- Individual or group non-investment life insurance
- Individual or group critical illness
- Individual or group income protection

Signed:

A handwritten signature in blue ink, appearing to read 'M. J. ...'.

Date: 16 June 2021

