



Financial Services Act 2008 – Licence

HSBC Bank plc

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 1(1) – deposit taking;
- Class 2 – investment business – sub-classes (3) and (7); and
- Class 3 – services to collective investment schemes – sub-class (13):
 - (a) providing services under Class 3 – sub-class (13) as set out in Schedule 4 paragraph (2)(8) of the Collective Investment Schemes Act 2008 in relation to individually recognised schemes as listed below:
 - (i) HSBC Portfolios.

A handwritten signature in blue ink, appearing to read 'D. Ward'.

Head of Division: Banking, Funds & Investments
Isle of Man Financial Services Authority

04 June 2020



HSBC Bank plc

Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exception:

Exception

Part 5 - Audit

- (a) Rule 5.13 – Management letter

A handwritten signature in black ink, appearing to read 'D. W. M. L.', positioned above a horizontal line.

Head of Division: Banking, Funds & Investments
Isle of Man Financial Services Authority

04 June 2020



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

Lught-Reill Shirveishyn Argidoil Ellan Vannin

REGISTRATION

To carry on the Business of Insurance Intermediary

Number: 87

Pursuant to Section 25 of the Insurance Act 2008 (as amended) the Isle of Man
Financial Services Authority hereby registers

HSBC Bank plc

(Companies Registry Number: 000156F)

of

PO Box 20
HSBC House
Ridgeway Street
Douglas
Isle of Man
IM99 1AU

To carry on the business of Insurance Intermediary (General Business) in or from the
Isle of Man.

This registration shall take effect on 31 December 2020.

A handwritten signature in blue ink, appearing to read 'Wendy Sayer'.

Wendy Sayer
Senior Manager – Non Life and Life Assurance





ISLE OF MAN FINANCIAL SERVICES AUTHORITY

Lught-Reill Shirveishyn Argidoil Ellan Vannin

NOTICE OF CONDITIONS IMPOSED BY THE ISLE OF MAN FINANCIAL SERVICES AUTHORITY ON HSBC BANK PLC ("THE COMPANY")

Using the powers available to the Isle of Man Financial Services Authority ("the Authority") under Section 25(5)(a) of the Insurance Act 2008 ("the Act") the Authority imposes the following conditions on the Company's ongoing registration as a General Insurance Intermediary under Section 25 of the Act:

Condition

The Company is only permitted to intermediate on the following types of pure protection products:

- Level Term Assurance
- Decreasing Term Assurance
- Critical Illness Assurance
- Income Protection Assurance

Signed:

Date: 16 June 2021

