



## **Financial Services Act 2008 – Licence**

### **Investasure Financial Services Limited**

#### **Conditions**

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 2 – investment business – sub-classes (3) and (7).

(a) For the purpose of activities undertaken under Class 2 sub-class (3) the licenceholder is only permitted to –

(i) arrange deals on an execution only or advisory basis relating to long term insurance;

(ii) arrange deals on an execution only or advisory basis relating to units or shares in –

collective investment schemes that are Authorised schemes in accordance with the Collective Investment Schemes Act 2008 (or comparable collective investments schemes authorised or approved in another jurisdiction suitable for a retail investor);

(iii) arrange deals on an execution only or advisory basis in unfettered life policy, pension and long term insurance contracts only where the underlying investments are –

collective investment schemes that are Authorised schemes in accordance with the Collective Investment Schemes Act 2008 (or comparable collective investments schemes authorised or approved in another jurisdiction suitable for a retail investor).



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(b) For the purpose of activities undertaken under Class 2 sub-class (7) the licenceholder is only permitted to –

(i) advise on long term insurance;

(ii) advise on units or shares in –

collective investment schemes that are Authorised schemes in accordance with the Collective Investment Schemes Act 2008 (or comparable collective investments schemes authorised or approved in another jurisdiction suitable for a retail investor);

(iii) advise on unfettered life policy, pension and long term insurance contracts only where the underlying investments are –

collective investment schemes that are Authorised schemes in accordance with the Collective Investment Schemes Act 2008 (or comparable collective investments schemes authorised or approved in another jurisdiction suitable for a retail investor).

2. The licenceholder has elected to offer only the products of St. James's Place Wealth Management and shall conduct its business in accordance with that election.
3. The licenceholder is not permitted to hold client money or client assets in respect of its investment business.

A handwritten signature in black ink, appearing to read 'D. Moore', written over a horizontal line.

Head of Supervision

Isle of Man Financial Services Authority

26 July 2021



## **Investasure Financial Services Limited**

### **Exceptions and Modifications**

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following modification:

#### **Part 6 – Conduct of Business**

a) Rule 6.8 add paragraph (3):

(3) Before giving advice or arranging deals in investments, the licenceholder shall disclose that it offers solely the products of St. James's Place Wealth Management.

b) Rule 6.12, add paragraph (1):

(1) Any advertisements for a product or service shall disclose that the licenceholder offers solely the products of St. James's Place Wealth Management.

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Head of Supervision

Isle of Man Financial Services Authority

26 July 2021



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FINANCIAL SERVICES AUTHORITY**

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**REGISTRATION**

**To carry on the Business of Insurance Intermediary**

**Number: 90**

Pursuant to Section 25 of the Insurance Act 2008 (as amended) the Isle of Man  
Financial Services Authority hereby registers

**Investasure Financial Services Limited**

(Companies Registry Number: 060417C)

of

Falcon House  
22-24 Ridgeway Street  
Douglas  
Isle of Man  
IM1 1EL

To carry on the business of Insurance Intermediary (General Business) in or from the  
Isle of Man.

This registration shall take effect on 31 December 2020.

Wendy Sayer





# ISLE OF MAN FINANCIAL SERVICES AUTHORITY

*Lught-Reill Shirveishyn Argidoil Ellan Vannin*

Senior Manager – Non Life and Life Assurance

## **NOTICE OF CONDITIONS IMPOSED BY THE ISLE OF MAN FINANCIAL SERVICES AUTHORITY ON INVESTASURE FINANCIAL SERVICES LIMITED (“THE COMPANY”)**

Using the powers available to the Isle of Man Financial Services Authority (“the Authority”) under Section 25(5)(a) of the Insurance Act 2008 (“the Act”) the Authority imposes the following conditions on the Company’s ongoing registration as a General Insurance Intermediary under Section 25 of the Act:

### **Condition**

The Company is only permitted to intermediate on the following types of pure protection products:

- Level Term Assurance
- Decreasing Term Assurance
- Family Income Benefit
- Death/Critical Illness
- Income Protection
- Whole of Life

Signed:

Date: 16 June 2021

