



## Financial Services Act 2008 – Licence

### Knox D’Arcy Asset Management Limited

#### Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder’s regulated activities are restricted to the following:-

- Class 2 - investment business - sub-classes (3), (4) and (7) in respect of closed ended investment companies and subsidiaries and associated entities of such companies.
- Class 3 - services to collective investment schemes - sub-classes (1), (2) and (6):
  - i. acting as manager under Class 3 – sub-class (1) of the following Regulated Fund -
    - i. Harbour View Cash Fund PLC.
  - ii. acting as manager under Class 3 - sub-class (1) of Specialist Funds, Qualifying Funds and Overseas Funds.
  - iii. acting as administrator under Class 3 - sub-class (2) of Specialist Funds, Qualifying Funds and Overseas Funds.
- Class 4 - corporate services - sub-classes (1), (3), (7), (10), (11) and (12) in respect of collective investment schemes as defined in the Collective Investment Schemes Act 2008 and subsidiaries and associated entities of such schemes, including the establishment and winding-up of such entities.
- Class 4 - corporate services - sub-classes (1), (3), (7), (9) and (10) in respect of closed ended investment companies and subsidiaries and associated entities of such companies, including the establishment and winding-up of such entities.

A handwritten signature in black ink, appearing to read 'D. Urwin'.

Head of Division: Banking, Funds and Investments

Isle of Man Financial Services Authority

31 August 2022