



## Financial Services Act 2008 – Licence

### Oceanic Investment Management Limited

#### Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
  - Class 2 – investment business – sub-classes (3) and (7);
  - Class 3 – services to collective investment schemes – sub-class (6) only
2. The licenceholder is not permitted to hold client money or client assets.
3. The licenceholder shall, at all times:
  - i. Maintain in force elections under Section 203 (filing of the register of members) and Section 204 (filing of the register of directors) of the Companies Act 2006 ("the Act") and shall comply with the requirements of the Act in respect of such elections; and
  - ii. Maintain up to date copies of the minutes of its Board meetings and members' meetings either at the licenceholder's business premises on the Isle of Man or at the office of its Registered Agent.

A handwritten signature in blue ink, appearing to read 'D. W. ...', written over a horizontal line.

Head of Division Banking, Funds and Investments

Isle of Man Financial Services Authority

24 August 2021