



Financial Services Act 2008 – Licence

Thomas Miller Investment (Isle of Man) Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 2 – investment business – sub-classes (3), (4), (5), (6) and (7); and
 - Class 3 – services to collective investment schemes – sub-class (6) and (7) only.

2. The licenceholder shall, at all times:
 - i. maintain in force an election under section 203 (filing of the register of members) of the Companies Act 2006 ('the Act') and shall comply with the requirements of the Act in respect of that election;
 - ii. have only natural persons as directors;
 - iii. have at least two directors; and
 - iv. maintain up to date copies of the minutes of its Board meetings and members' meetings either at the licenceholder's business premises in the IoM or at the office of its registered agent.



Rebekah Roberts Chief Executive
Isle of Man Financial Services Authority

10 January 2022