



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

Lught-Reill Shirveishyn Argidoil Ellan Vannin

Maitland Services Limited

Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exception:

Exception

If the only Class 3 regulated activity undertaken by the licenceholder relates to exempt schemes and/or exempt type schemes where –

- there are fewer than 10 exempt schemes and/or exempt-type schemes each of which has only a single asset class;
- the assets of the exempt schemes and/or exempt type schemes are not traded more frequently than twice per year; and
- each exempt scheme and/or exempt type scheme has no more than 10 investors,

then the following exception may apply in relation to services provided to those exempt schemes and exempt type schemes:

Rule 3.30 - Subscription and redemption accounts

The licenceholder is excepted from Rule 3.30 in relation to exempt or exempt type schemes but only where such a scheme operates its own bank account.

A handwritten signature in blue ink, appearing to read "Paul de Wit".

Deputy Director

Isle of Man Financial Services Authority

04 January 2017



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Financial Services Act 2008 – Licence

Maitland Services Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 3 – services to collective investment schemes – sub-classes (11) and (12);
 - Class 4 – corporate services – sub-classes (1) to (15) inclusive; and
 - Class 5 – trust services – sub-classes (1), (2), (3), (5) and (6).

A handwritten signature in blue ink, appearing to read 'Paul de Waard', written over a horizontal line.

Deputy Director

Isle of Man Financial Services Authority

04 January 2017