



Financial Services Act 2008 – Licence

Marsh Corporate Services Isle of Man Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 4 – corporate services – sub-classes (1) to (15) inclusive.
2. The licenceholder is not permitted to hold client money.
3. For the purposes of section 17 of the Financial Services Act 2008, an “auditor” is any person (not being an employee of the licenceholder) who is in any way concerned in the keeping of the accounting records or the preparation of the accounts of or relating to the licenceholder.

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Deputy Director

Isle of Man Financial Services Authority

05 January 2017



Marsh Corporate Services Isle of Man Limited

Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exceptions and modifications:

Exceptions

Part 5 – Audit

All of Part 5 is excepted.

Modifications

Part 2 – Financial Resources and Reporting

Rule 2.8(2)(a)(ii) replace “audited annual financial statements” with “annual financial statements signed by two directors”.

Rule 2.8(4) replace “verified by the auditor” with “signed by two directors”.

Rule 2.32(a) replace “the auditor” with “two directors”.

Rule 2.32(c)(i) replace “a statement” with “a statement signed by two directors”.

Rule 2.32(c)(ii) after “and the reasons for them” insert “which must be verified by two directors”.

Appendix 3 - note 11 remove “audited”.



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Exceptions and Modifications

Modifications

For rule 2.10 substitute –

- (1) Where a licenceholder is a subsidiary of another company it must provide to the Authority –
 - (a) unconsolidated (if consolidated are not available) audited financial statements for Marsh & McLennan Companies Inc.

- (2) Where financial statements are required to be provided to the Authority under (1) they must be audited –
 - (a) In accordance with the law of the country or territory in which Marsh & McLennan Companies Inc is incorporated, if they are required by that law to be audited.

A handwritten signature in blue ink, appearing to read 'Paul de Weert', written over a horizontal line.

Deputy Director

Isle of Man Financial Services Authority

05 January 2017

