



Financial Services Act 2008 – Licence

Steer-Fowler Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 4 – corporate services – sub-classes (1) to (15) inclusive.
2. The licenceholder is not permitted to hold client money.
3. For the purposes of Section 17 of the Financial Services Act 2008, an “auditor” is any person (not being an employee of the licenceholder) who is in any way concerned in the keeping of the accounting records or preparation of the accounts of or relating to the licenceholder.

A handwritten signature in black ink, appearing to read 'Paul Ward', written over a horizontal line.

Senior Manager

Isle of Man Financial Services Authority

05 April 2017



Steer-Fowler Limited

Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exceptions and modifications:

Exceptions

Part 5 – Audit - in its entirety

Modifications

Part 2 – Financial Resources and Reporting

Rule 2.8(2)(a)(ii) replace “audited annual financial statements” with “annual financial statements signed by two directors”.

Rule 2.8(4) replace “verified by the auditor” with “signed by two directors”.

Rule 2.32(a) replace “the auditor” with “two directors”.

Rule 2.32(c)(i) replace “a statement” with “a statement signed by two directors”.

Rule 2.32(c)(ii) after “and the reasons for them” insert “which must be verified by two directors”.

Appendix 3 - note 11 remove “audited”.

A handwritten signature in black ink, appearing to read 'Paul Delaney', written over a horizontal line.

Senior Manager

Isle of Man Financial Services Authority

05 April 2017