

Financial Services Act 2008 - Licence

Thornton Associates Ltd

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

- 1. The licenceholder's regulated activities are restricted to the following:
 - Class 2 investment business sub-classes (3) and (7)
- 2. The licenceholder is not permitted to hold client money or client assets.

Head of Division Banking, Funds and Investments

Isle of Man Financial Services Authority

2 October 2017

FSA Reference 1283 Page 1 of 1



REGISTRATION

To carry on the Business of Insurance Intermediary

Number: 84

Pursuant to Section 25 of the Insurance Act 2008 (as amended) the Isle of Man Financial Services Authority hereby registers

Thornton Associates Limited

(Companies Registry Number: 101131C)

of

St John's Mill Mill Road St John's Isle of Man IM4 3AF

To carry on the business of Insurance Intermediary (General Business) in or from the Isle of Man.

This registration shall take effect on 31 December 2020.

Wendy Sayer Senior Manager – Non Life and Life Assurance

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NOTICE OF CONDITIONS IMPOSED BY THE ISLE OF MAN FINANCIAL SERVICES AUTHORITY ON THORNTON ASSOCIATES LIMITED ("THE COMPANY")

Using the powers available to the Isle of Man Financial Services Authority ("the Authority") under Section 25(5)(a) of the Insurance Act 2008 ("the Act") the Authority imposes the following conditions on the Company's ongoing registration as a General Insurance Intermediary under Section 25 of the Act:

Condition

The Company is only permitted to intermediate on the following types of pure protection products:

- Life & Family Income Benefit Cover
- Critical Illness Cover

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• Income Protection Cover

Signed:

Date: 16 June 2021

