



Financial Services Act 2008 – Licence

Trident Trust Company (I.O.M.) Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 4 – corporate services – sub-classes (1) to (15) inclusive;
 - Class 5 – trust services – sub-classes (1), (2), (3), (5) and (6); and
 - Class 7 – management and administration services to a Class 4 and Class 5 licenceholder R & M Management (I.O.M.) Limited.

2. The licenceholder shall, at all times:
 - i. Maintain in force elections under Section 203 (filing of the register of members) and Section 204 (filing of the register of directors) of the Companies Act 2006 (“the Act”) and shall comply with the requirements of the Act in respect of such elections; and

 - ii. Maintain up to date copies of the minutes of its Board meetings and members' meetings either at the licenceholder's business premises on the Isle of Man or at the office of its Registered Agent.



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

Lught-Reill Shirveishyn Argidoil Ellan Vannin

Trident Trust Company (I.O.M.) Limited

Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exceptions:

Exception

Part 2 - Financial Resources and Reporting –

Trident Trust Company (I.O.M.) Limited is not required to provide audited accounts under rule 2.10(1), (2) and (3) in respect of its parent company, subject to;

- (a) The parent company continuing to submit unaudited accounts annually as required under rule 2.10(4).

A handwritten signature in cursive script, appearing to read 'D. Unwin'.

Head of Division Banking, Funds and Investments

Isle of Man Financial Services Authority

20 May 2022