



**ISLE OF MAN  
FINANCIAL SERVICES AUTHORITY**

*Lucht-Reill Shirveishyn Argidoil Ellan Vannin*

**Financial Services Act 2008 – Licence**

**Trident Trust Company (I.O.M.) Limited**

**Conditions**

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 3 – services to collective investment schemes – sub-classes (11) and (12)  
(a) acting as custodian to collective investment schemes which are exempt schemes or exempt-type schemes under Class 3 – sub-class (11);
- Class 4 – corporate services – sub-classes (1) to (15) inclusive;
- Class 5 – trust services – sub-classes (1), (2), (3), (5) and (6); and
- Class 7 – management and administration services to a Class 4 and Class 5 licenceholder R & M Management (I.O.M.) Limited.

2. The licenceholder shall, at all times:

- i. Maintain in force elections under Section 203 (filing of the register of members) and Section 204 (filing of the register of directors) of the Companies Act 2006 ("the Act") and shall comply with the requirements of the Act in respect of such elections; and
- ii. Maintain up to date copies of the minutes of its Board meetings and members' meetings either at the licenceholder's business premises on the Isle of Man or at the office of its Registered Agent.

A handwritten signature in blue ink, appearing to read "R. Delaney".

Deputy Director

Isle of Man Financial Services Authority

05 January 2017



## **Trident Trust Company (I.O.M.) Limited**

### **Exceptions and Modifications**

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exceptions:

#### **Exception**

#### **Part 2 - Financial Resources and Reporting –**

Trident Trust Company (I.O.M.) Limited is not required to provide audited accounts under rule 2.10(1), (2) and (3) in respect of its parent company, subject to;

- (a) The parent company continuing to submit unaudited accounts annually as required under rule 2.10(4).

A handwritten signature in blue ink, appearing to read "Paul de Waele".

Deputy Director

Isle of Man Financial Services Authority

05 January 2017