



Financial Services Act 2008 – Licence

Mary Oppenheimer Daughters (IOM) Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken:-

1. The licenceholder's regulated activities are restricted to the following:
 - Class 3 – services to collective investment schemes - sub-class (11) and (12);
 - Class 4 – corporate services – sub-classes (1) to (15) inclusive; and
 - Class 5 – trust services - sub-class (3).

2. The licenceholder must seek prior written approval from the Authority before entering into a contractual relationship, either directly or through a subsidiary or nominee, with an exempt scheme or exempt type scheme as defined in the Collective Investment Schemes Act 2008.

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Chief Executive

Isle of Man Financial Services Authority

06 April 2020



Mary Oppenheimer Daughters (IOM) Limited

Exceptions and Modifications

Pursuant to section 7 (3) (b) of the Financial Services Act 2008, the Rule Book applies with the following exceptions and modifications to Class 3 activity undertaken by the licenceholder relating to exempt schemes and/or exempt type schemes:

Exceptions

Rule 2.33 Interim Financial Returns

The licenceholder is excepted from Rule 2.33.

Rule 6.63 Contract note etc.

The licenceholder is excepted from Rule 6.63.

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Chief Executive

Isle of Man Financial Services Authority

06 April 2020