



## **Financial Services Act 2008 – Licence**

### **Ocorian Fund Services (Isle of Man) Limited**

#### **Conditions (Page 1)**

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:

- Class 3 – services to collective investment schemes – sub-classes (1), (2),(10), (11) and (12) only;
  - (a) acting as manager under Class 3 – sub-class (1) of Experienced Investor Funds, Qualifying Funds, Specialist Funds and Overseas Funds;
  - (b) acting as administrator under Class 3 – sub-class (2) of Experienced Investor Funds, Qualifying Funds, Specialist Funds and Overseas Funds;
  - (c) providing administration services under Class 3 – sub-class (10) to the manager or administrator of a scheme where the manager or the administrator is located outside the island; and
  - (d) acting as a trustee, manager or administrator under Class 3 – sub-class (11) to collective investment schemes which are exempt schemes or exempt type schemes.



## Ocorian Fund Services (Isle of Man) Limited

### Conditions (Page 2)

- Class 4 – corporate services - sub-classes (1) – (15) inclusive in respect of collective investment schemes, as defined in the Collective Investment Schemes Act 2008 and subsidiaries and associated entities of such schemes, including the establishment and winding-up of such entities.
- Class 4 – corporate services - sub-classes (1) – (15) inclusive in respect of closed-ended investment companies and subsidiaries and associated entities of such entities, including the establishment and winding-up of such entities.
- Class 4 – corporate services - sub-classes (1) – (15) inclusive in respect of single investor investment vehicles and subsidiaries and associated entities of such vehicles, including the establishment and winding-up of such entities.
- Class 4 – corporate services - sub-classes (1) – (15) inclusive in respect of entities to which the licenceholder is providing services under Class 3(10) or (12) and subsidiaries and associated entities of investment managers or advisers.

A handwritten signature in blue ink, appearing to read 'D. M. M. L.', positioned above a horizontal line.

Head of Division - Banking, Funds and Investments

Isle of Man Financial Services Authority

8 April 2020