



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

Lucht-Reill Shirveishyn Argldoil Ellan Vannin

Financial Services Act 2008 – Licence

Capital Financial Markets Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 2 – investment business – sub-class (1) – dealing with investments as principal in fully hedged contracts for difference on behalf of Capital International Limited only.
2. The licenceholder is not permitted to hold client money or client assets.

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Deputy Director

Isle of Man Financial Services Authority

05 January 2017



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Exceptions and Modifications

Pursuant to section 7(3)(b) of the Financial Services Act 2008, the Rule Book applies with the following exception:

Exception

Appendix 3 – Financial Resources Statement -

Part B – Calculation of Liquid Capital – Table 1 – Market Value Adjustments and Note 6 only in respect of assets held in order to hedge contracts for different liabilities.

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Deputy Director

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