



ISLE OF MAN
FINANCIAL SERVICES AUTHORITY

Lught-Reiff Shirveishyn Argidoil Eilan Vannin

Financial Services Act 2008 - Licence

Capita Retail Financial Services (Isle of Man) Limited

Conditions

The following conditions are imposed in respect of the regulated activities undertaken.

1. The licenceholder's regulated activities are restricted to the following:
 - Class 3 - services to collective investment schemes - sub-class (9)
 - (a) providing administration services to the manager or administrator of a collective investment scheme.

2. The licenceholder shall, at all times:
 - i. Maintain in force elections under Section 203 (filing of the register of members) and Section 204 (filing of the register of directors) of the Companies Act 2006 ("the Act") and shall comply with the requirements of the Act in respect of such elections; and
 - ii. Maintain up to date copies of the minutes of its Board meetings and members' meetings either at the licenceholder's business premises on the Isle of Man or at the office of its Registered Agent.

A handwritten signature in black ink, appearing to be 'C. M. J.', written over a horizontal line.

Deputy Director

Isle of Man Financial Services Authority

05 January 2017