



Annual Return form for recognised auditors

In accordance with the Register of Recognised Auditors Regulations 2010¹, all Recognised Auditors must confirm to the Isle of Man Financial Services Authority (“the Authority”) annually, using this return form, that the full details held within the register remain accurate. If this is not the case, a Recognised Auditor must provide accurate information on this form.

This form must be completed as at 1 September and submitted to the Authority by 15 September annually.

Recognised Auditors must pay to the Authority an annual fee of £880, which must arrive by 15 September annually. Payment should be made via BACS (details on invoice issued separately), or cheques payable to “Isle of Man Government” with this form.

The form is to be completed and signed by the audit compliance principal with overall responsibility for making sure the firm complies with the Crown Dependencies’ Audit Rules and Guidance (“the Rules”).

If there is insufficient space on the form, please attach additional sheets

Name of Recognised Auditor	
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¹ SD07/10 (as amended) <https://iomfsa.im/media/1403/registerofrecognisedauditorsregu.pdf>
Jan 2018

Annual return confirmations

The Recognised Auditor audits the following market traded companies (“MTCs”):

Name of MTC	Name of Regulated Market(s) on which the MTC’s securities are listed	Principal Activity of the MTC	Approximate Turnover of MTC
I confirm that:			Please tick to confirm
The Recognised Auditor remains eligible to be entered on the register of Recognised Auditors			
The address, e-mail and other contact details shown on the register for the Recognised Auditor are correct <i>(Please list any changes on a separate sheet)</i>			
All Principals and Responsible Individuals listed on the register in respect of this Recognised Auditor continue to hold those roles			
Neither the Recognised Auditor, its Principals, nor its Responsible Individuals been the subject of any adverse findings by any recognised accountancy body in the last year/ since the previous annual return			
The Recognised Auditor has no reasonable grounds for suspecting that it or any Principals or Responsible Individuals have contravened any law that would mean they are unsuitable to continue in such roles			
Any new Responsible Individuals who are proposed by the Recognised Auditor are listed below and relevant application forms are attached			
I have paid by BACS or enclosed a cheque (payable to “Isle of Man Government”) for the annual fee of £880			

I have attached a total of _____ additional sheets.

New Responsible Individuals proposed (forms attached)

Surname	First names	Date of birth	Office location	Principal or employee	Practising Certificate held?	Membership body name	Membership number

Certification of audit compliance principal

<p>I confirm that:</p> <ul style="list-style-type: none"> • the control of this firm complies with the requirements of the Companies Law; • I have taken steps to ensure that all Principals and employees involved in audit work are competent to undertake audit work; • the firm will comply with the Rules at all times; • the firm agrees to pay the costs of oversight and such fees, including any costs incurred by any person or body exercising a regulatory or supervisory role, as are necessary for the continued entry of the Recognised Auditor on the register, at the times and rates as determined from time to time; • to the best of my knowledge and belief, the information in, or provided with, this annual return is true and accurate. 	<p style="text-align: right;">Audit compliance principal</p> <p>Signature: _____</p> <p>Name: _____</p> <p>Date: _____</p>
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**Data Protection Act 2002**

Data protection The Isle of Man Financial Services Authority takes data protection very seriously. We are collecting personal data as a result of your position as a Recognised Auditor. We may pass information within the ICAEW, to the Financial Reporting Council Ltd, to other Recognised Supervisory Bodies or as required by law or to enable the Authority to perform its functions. Further information on the data protection arrangements can be found on our website using the following link – <https://beta.gov.im/iomfsa/investor/datasubjectaccessrequests.xml>