

## Appendix K

### Proforma Register of Money Laundering and Financing of Terrorism Enquiries

*This pro-forma is a guidance document, based on -paragraphs 36 of the Anti-Money Laundering and Countering the Financing of Terrorism Code (“AML/CFT Code”) 2015 which may be used as a template by Relevant Persons.*

**[Relevant Persons Name]**  
**Register of Money Laundering and Financing of Terrorism Enquiries**

*“ A Relevant Person must establish and maintain a register of all money laundering and financing of terrorism enquiries made of it by law enforcement or other competent authorities.”  
 Paragraph 36 of the AML/CFT Code 2015*

<b>Para 36(2)(a)</b>	<b>Para 36(2)(b)</b>	<b>Para 36(2)(c)</b>	<b>Para 36(2)(d)</b>	<b>Para 36(2)(e)</b>	<b>Comments and further action#</b>
<i>Date when the enquiry was received</i>	<i>Nature of the enquiry</i>	<i>Name of the enquiring officer and agency</i>	<i>Powers being exercised</i>	<i>Details of the accounts or transactions involved (e.g. name of customer, account number and date of transactions</i>	

# Guidance: optional field.

