

## Appendix I

### Proforma Register of Money Laundering and Financing of Terrorism Disclosures Made to the MLRO or Deputy MLRO

*This pro-forma is a guidance document, based on paragraph 35 of the Anti-Money Laundering and Countering the Financing of Terrorism Code (“AML/CFT Code”) 2015 which may be used as a template by Relevant Persons.*

***[Relevant Persons Name]***  
***Register of Internal Money Laundering and Financing of Terrorism Disclosures made to the MLRO or Deputy MLRO.***

*“ A Relevant Person must establish and maintain separate registers of all external disclosures and internal disclosures.”  
 Paragraph 35(1) of the AML/CFT Code 2015*

<b><i>Para 35(3)(a)</i></b>	<b><i>Para 35(3)(b)</i></b>	<b><i>Para 35(3)(c)</i></b>	<b><i>Para 35(3)(e)</i></b>	<b><i>Para 35(3)(f)</i></b>
<i>Date on which the report is made</i>	<i>Person who made the report</i>	<i>Whether made to the MLRO or Deputy MLRO</i>	<i>Information sufficient to identify the relevant papers</i>	<i>Comments and further action#</i>

# Guidance: optional field.