



**ISLE OF MAN
FINANCIAL SERVICES AUTHORITY**

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APPLICATION FOR ISPV CHANGE IN ACTIVITY RESTRICTIONS

This document is an extract from Part IV of Schedule 1 to the Insurance (Special Purpose Vehicles) Regulations 2015. Whilst care has been taken to ensure the accuracy of the content of this document, the Insurance and Pensions Authority does not accept responsibility for the accuracy of forms submitted.

APPENDIX

Requirements for completion:

- (1) Applications must be provided in a tabular format as indicated in Part I of this Schedule and responses to questions must be provided, or referenced, against the numbered questions in the table. If a question which has been responded to previously by the ISPV in an application to the Supervisor (and forms part of the basis of its existing authorisation) and the previous response would not be affected by the matters for which this application is being made, then the question may be answered by specifying the relevant application and stating “no change” rather than being answered in full.
- (2) If an additional sheet or document is intended as part of the response to any question —
 - (a) the sheet or document must accompany the application and be clearly cross referenced in response to the question; and
 - (b) the relevant part or parts of the sheet or document must be clearly and specifically identified.
- (3) If any pre-application discussions are to be considered as part of the application, these must be included as referred to in Regulation 6(3)(a) and Guidance Note 8(b).
- (4) If the Supervisor is being requested to grant approval subject to any post-authorisation deliverables in accordance with Regulation 6(3)(b) and Guidance Note 9, then this must be clearly indicated against each question where such a request is being made. In addition, the application must be accompanied by a separate written request confirming each proposed post-authorisation deliverable and detailing the reason why its post-authorisation delivery is considered to be necessary in the context of the application, together with the anticipated timeframe until its delivery.
- (5) If a question cannot be answered, this must be stated and the reasons given.
- (6) Supplementary documents and information to accompany this application include any as indicated in Part II of this Schedule as may be affected by the matters for which this application is being made, or as have been updated since last provided to the Supervisor.