**FSA Closed Consultations**

On 18 July 2017, the Isle of Man Government released a new Consultation Hub and an exercise was undertaken to migrate all Government consultations from 1 January 2017 to the new Hub.

FSA consultations released prior to 1 January 2017 can be accessed from within this document.

|  |  |
| --- | --- |
| **Topic** | Fees 2017 |
| **Title** | Fees 2017 |
| **Description** | This paper details the 2017 fees for entities licensed, authorised or registered under the Financial Services Act 2008, Insurance Act 2008, Retirement Benefit Schemes Act 2000 or that have responsibility for funds under the Collective Investment Schemes Act 2008. It does not propose changes to the fees paid by designated businesses. |
| **Consultation Period** | 26 November 2016 - 6 January 2017 |
| **Contact for Queries and Responses** | Nicola Igoea  Manager - Policy  PO Box 58 Finch Hill House Bucks Road Douglas IM99 1DT Email: [nicola.igoea@iomfsa.im](mailto:nicola.igoea@iomfsa.im) |
| **Documents** | |  |  |  | | --- | --- | --- | | Consultation paper  <https://www.iomfsa.im/media/1844/fees2017consultationpaper.pdf> |  |  | | Responses to consultation  <https://www.iomfsa.im/media/1741/fees2017consultationresponse.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP16-04 QIS3 Exercise for Non-Life Insurers |
| **Title** | CP16-04 QIS3 Exercise for Non-Life Insurers |
| **Description** | This paper provides details of the third quantitative impact study for non-life insurance business (“QIS3”).  Technical specifications and their appendices for non-life insurance business are also attached, together with a set of risk free curves for the currencies set out in the technical specifications, results templates, and helper spreadsheets. A questionnaire for completion by respondents is also attached. |
| **Consultation Period** | 1 September 2016 - 31 December 2016 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  PO Box 58 Finch Hill House Bucks Road Douglas IM99 1DT Email: [neil.taverner@iomfsa.im](mailto:neil.taverner@iomfsa.im) |
| **Documents** | |  |  |  | | --- | --- | --- | | CP16-04  <https://www.iomfsa.im/media/1846/cp1604.pdf> |  |  | | TS16-04(10)  <https://www.iomfsa.im/media/1847/ts160410.pdf> |  |  | | TS16-04(10) marked up  <https://www.iomfsa.im/media/1848/ts160410markedup.pdf> |  |  | | TS16-04(200)  <https://www.iomfsa.im/media/1849/ts160410.pdf> |  |  | | TS16-04(200) marked up  <https://www.iomfsa.im/media/1850/ts160410markedup.pdf> |  |  | | TS16-04 Appendices  <https://www.iomfsa.im/media/1851/ts1604appendices.pdf> |  |  | | QIS3\_NL\_1in10  <https://www.iomfsa.im/media/1852/qis3_nl_1in10.xlsm> |  |  | | QIS3\_NL\_1in200  <https://www.iomfsa.im/media/1853/qis3_nl_1in200.xlsm> |  |  | | QIS3\_NL\_Yield\_Curves  <https://www.iomfsa.im/media/1854/qis3_nl_yield_curves.xlsx> |  |  | | QIS3\_NL\_Spread\_Risk\_Helper\_1in200  <https://www.iomfsa.im/media/1855/qis3_nl_spread_risk_helper_1in200.xlsx> |  |  | | QIS3\_NL\_Counterparty\_Default\_Risk\_Helper\_1in10 |  |  | | <https://www.iomfsa.im/media/1856/qis3_nl_counterparty_default_risk_h2.xlsx> |  |  | | QIS3\_NL\_Counterparty\_Default\_Risk\_Helper\_1in200  <https://www.iomfsa.im/media/1857/qis3_nl_counterparty_default_risk_h3.xlsx> |  |  | | Concentration\_Risk\_Template\_NL\_1in200  <https://www.iomfsa.im/media/1858/concentration_risk_template_nl_1in2.xlsx> |  |  | | Concentration\_Risk\_Template\_NL\_1in10  <https://www.iomfsa.im/media/1859/concentration_risk_template_nl_1in1.xlsx> |  |  | | Interest\_Rate\_Risk\_Template\_NL\_2015  <https://www.iomfsa.im/media/1860/interest_rate_risk_template_nl_2015.xlsm> |  |  | | Qualitative\_questionnaire\_NL\_QIS3  <https://www.iomfsa.im/media/1861/qualitative_questionnaire_nl_qis31.xlsx> |  |  | |

|  |  |
| --- | --- |
| **Topic** | 3rd Quantitative Impact Study for Life Insurers |
| **Title** | 3rd Quantitative Impact Study for Life Insurers |
| **Description** | As detailed in the FSA’s 2016 Roadmap, we are today issuing a consultation paper CP16-05. This paper sets out the timescales for the QIS3 exercise for life insurers and reinsurers, describes changes to the Standard Formula being tested in QIS3 compared with that for QIS2, and provides additional guidance on some aspects of the calculation in order to promote consistency of approach across respondents. |
| **Consultation Period** | 4 October 2016 - 31 December 2016 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  PO Box 58 Finch Hill House Bucks Road Douglas IM99 1DT Email: [neil.taverner@iomfsa.im](mailto:neil.taverner@iomfsa.im) |
| **Documents** | |  |  |  | | --- | --- | --- | | CP16-05 041016  <https://www.iomfsa.im/media/1862/cp1605041016.pdf> |  |  | | TS16-05 041016  <https://www.iomfsa.im/media/1863/ts1605041016.pdf> |  |  | | QIS3\_1\_  <https://www.iomfsa.im/media/1864/qis3_1_.xlsx> |  |  | | [QIS3\_2\_](http://www.iomfsa.im/lib/docs/iomfsa/consultations/QIS32nd/qis3_2_.xlsx)  <https://www.iomfsa.im/media/1865/qis3_2_.xlsx> |  |  | | QIS3\_3\_  <https://www.iomfsa.im/media/1869/qis3_total_.xlsm> |  |  | | QIS3\_4\_  <https://www.iomfsa.im/media/1867/qis3_4_.xlsx> |  |  | | QIS3\_5\_  <https://www.iomfsa.im/media/1868/qis3_5_.xlsx> |  |  | | QIS3\_Total\_  <https://www.iomfsa.im/media/1869/qis3_total_.xlsm> |  |  | | QIS3\_Yield\_Curves\_Life  <https://www.iomfsa.im/media/1870/qis3_yield_curves_life.xlsx> |  |  | | QIS3\_Counterparty\_Default\_Risk\_Helper\_Life |  |  | | <https://www.iomfsa.im/media/1871/qis3_counterparty_default_risk_help.xlsx> |  |  | | QIS3\_Health\_Risk\_Helper\_Life  <https://www.iomfsa.im/media/1872/qis3_health_risk_helper_life.xlsm> |  |  | | QIS3\_Spread\_Risk\_Helper\_Life  <https://www.iomfsa.im/media/1873/qis3_spread_risk_helper_life.xlsx> |  |  | | Qualitative\_Questionnaire\_QIS3\_Life  <https://www.iomfsa.im/media/1874/qualitative_questionnaire_qis3_life.xlsx> |  |  | |

|  |  |
| --- | --- |
| **Topic** | DP16-07 Insurance Intermediaries |
| **Title** | DP16-07 Insurance Intermediaries |
| **Description** | This discussion paper sets out the Authority’s initial views on developing the Island’s existing regulatory framework for the supervision of general insurance intermediaries, taking into account developing international standards, including the IAIS ICPs. |
| **Consultation Period** | 28 October 2016 - 23 December 2016 |
| **Contact for Queries and Responses** | Mr Paul Ellison Manager - Policy Ground Floor Finch Hill House Bucks Road Douglas IM1 3DF Email: [paul.ellison@iomfsa.im](mailto:paul.ellison@iomfsa.im) Tel:01624 646000 |
| **Documents** | |  |  |  | | --- | --- | --- | | DP16-07 |  |  | | <https://www.iomfsa.im/media/1896/dp1607.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | Financial Services (Civil Penalties) (Amendment) Regulations 2016 |
| **Title** | Financial Services (Civil Penalties) (Amendment) Regulations 2016 |
| **Description** | Due to changes to rule numbers from the 2013 to 2016 Rule Book (as described here), it is necessary to amend the Financial Services (Civil Penalties) Regulations 2015 so as to reflect the new rule numbers. The Authority has therefore issued a consultation on the draft Financial Services (Civil Penalties) (Amendment) Regulations 2016, which will be open until 20 November 2016. |
| **Consultation Period** | 28 October 2016 - 20 November 2016 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  | | --- | --- | --- | | Financial Services Rule Book 2016 – CONSEQUENTIAL AMENDMENTS TO CIVIL PENALTIES REGULATIONS  <https://www.iomfsa.im/media/1897/civilpenaltiesupdatesconsultationoct.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP16-06 Insurance Amendment Bill 2016 Consultation |
| **Title** | CP16-06 Insurance Amendment Bill 2016 Consultation |
| **Description** | This is the second consultation paper on proposed amendments to the Insurance Act 2008 (“Act”). The paper sets out all changes proposed to the Act, both changes proposed in the initial consultation as well as further amendments proposed following the initial consultation. Appendices to the paper include a marked up version of the Act to illustrate proposed changes, the Insurance (Amendment) Bill 2016 and a schedule showing all feedback on the initial consultation (on an anonymous basis) and the Authority’s response to that feedback.  This consultation closed on 11 November 2016 and the Authority’s responses to the feedback received on this consultation is also included. |
| **Consultation Period** | 30 September 2016 - 11 November 2016 |
| **Contact for Queries and Responses** | Cheryl McGinley The Isle of Man Financial Services Authority PO Box 58, Finch Hill House Bucks Road, Douglas Isle of Man IM99 1DT Email: [cheryl.mcginley@iomfsa.im](mailto:cheryl.mcginley@iomfsa.im) |
| **Documents** | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | Insurance (Amendment) Bill 2016  <https://www.iomfsa.im/media/1898/consultationinsuranceamendment.pdf> |  |  | | Responses to 2nd consultation on Insurance (Amendment) Bill |  |  | |  |  | | <https://www.iomfsa.im/media/1899/responsesto2ndconsultationonins.pdf> |  |  | |

|  |  |  |
| --- | --- | --- |
| **Topic** | | CP16-03 Managing Conflicts of Interest in the Insurance Sales Process (Long-term business) |
| **Title** | | CP16-03 Managing Conflicts of Interest in the Insurance Sales Process (Long-term business) |
| **Description** | | This consultation paper sets out the Authority’s views on developing the Island’s existing regulatory framework to enhance the fair treatment of policyholders by ensuring that any conflicts of interest that arise during the insurance sales process are disclosed and thereby mitigated. The Authority also wants to make sure that future developments will take into account similar requirements and anticipated future developments in jurisdictions in which local insurers operate and compete.  CP16-03 builds on the draft binding guidance issued in CP15-02, the Conduct of Business Code (Long Term Insurers) (“the Code”), to place requirements on Class 1 and 2 authorised insurers in respect of managing conflicts of interest and in particular the disclosure of remuneration payable to intermediaries in the sales process.  The consultation closed on 2 September 2016 and a summary of the Authority’s responses to feedback received is also included. |
| **Consultation Period** | | 7 July 2016 - 2 September 2016 |
| **Contact for Queries and Responses** | | Mr Paul Ellison Manager - Policy Ground Floor Finch Hill House Bucks Road Douglas IM1 3DF Email: [paul.ellison@iomfsa.im](mailto:paul.ellison@iomfsa.im) Tel:01624 646000 |
| **Documents** | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | CP16-03 COI for issue  <https://www.iomfsa.im/media/1900/cp1603coiforissue.pdf> |  |  | |  |  |  | Summary of responses to the feedback on the consultation on Managing Conflicts of Interest in the Insurance Sales Process (Long-term business) (CP16-03  <https://www.iomfsa.im/media/1901/summaryofcommentsinresponsetoc.pdf> |  |  | | |

|  |  |
| --- | --- |
| **Topic** | Financial Services Rule Book 2016 – consultation on draft legislation |
| **Title** | Financial Services Rule Book 2016 – consultation on draft legislation |
| **Description** | The Isle of Man Financial Services Authority has today issued a public consultation on the draft Financial Services Rule Book 2016, which we intend will replace the current Rule Book on 1 January 2017. The consultation document includes a link to a tracked changes version of the draft Rule Book 2016.  This consultation will be of interest to all entities that are regulated under the Financial Services Act 2008 and their advisers. Comments on the proposed new legislation are welcome until 19 June 2016. |
| **Consultation Period** | 28 April 2016 - 19 June 2016 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | Consultation Paper - Financial Services Authority Rule Book2016 |  |  | | <https://www.iomfsa.im/media/1902/consultationpaperrrulebook2016.pdf>  Tracked changes from 2013 to 2016 Rule Book  <https://www.iomfsa.im/media/1903/trackedchangesfrom2013to2016ru.pdf> |  |  | | Feedback on consultation and final 2016 Rule Book  <https://www.iomfsa.im/media/1904/consultationfeedbackandfinalrulebook.pdf> |  |  | |  |  | | |

|  |  |
| --- | --- |
| **Topic** | DP16-02 Group supervision – Discussion paper and request for information |
| **Title** | DP16-02 Group supervision – Discussion paper and request for information |
| **Description** | The first part of this paper (sections 1 – 10) is a discussion paper which sets out the Authority’s proposed framework for group supervision and the implications of this for authorised insurers, drawing on standards established within the Insurance Core Principles and developments in other jurisdictions.  The second part (section 11) is a request for information which should be considered in the context of the matters set out in the discussion paper.  All authorised insurers are asked to read this discussion paper and to respond to the request for information in Section 11 of this document. |
| **Consultation Period** | 29 April 2016 - 10 June 2016 |
| **Contact for Queries and Responses** | Cheryl McGinley The Isle of Man Financial Services Authority PO Box 58, Finch Hill House Bucks Road, Douglas Isle of Man IM99 1DT Email: [cheryl.mcginley@iomfsa.im](mailto:cheryl.mcginley@iomfsa.im) |
| **Documents** | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | DP16-02 Group supervision discussion paper and request for information  <https://www.iomfsa.im/media/1905/dp1602groupsupervisiondiscussion.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | Alternative Banking Regime |
| **Title** | Alternative Banking Regime – consultation on new types of Class 1 licences |
| **Description** | The Isle of Man Financial Services Authority has today issued a public consultation on detailed proposals to create three separate types of Class 1 (deposit taking) licence. This consultation follows the discussion paper that was issued in January 2016 and includes a summary of comments received on the previous paper. The consultation document also includes the draft legislation that will bring the regime into operation. |
| **Consultation Period** | 31 March 2016 - 14 May 2016 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | Alternative Banking Regime – consultation on new types of Class 1 licences |  |  | |  |  |  |  |  |  | <https://www.iomfsa.im/media/1907/consultationlegislationmarmay2016.pdf> |  |  | |  |  |  |  |  |  | Alternative Banking Regime - feedback on consultation  <https://www.iomfsa.im/media/1906/alternativebankingregimefeedbac.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | Domestic Systemically Important Banks (“D-SIBs”) |
| **Title** | Domestic Systemically Important Banks (“D-SIBs”) |
| **Description** | The Isle of Man Financial Services Authority has today issued a public consultation on proposals for establishing and implementing a regulatory and supervisory framework for banks considered as being systemically important at a domestic level in the Isle of Man.  This consultation is particularly relevant to existing holders of financial services licences authorising Class 1 regulated activity. |
| **Consultation Period** | 18 February 2016 - 31 March 2016 |
| **Contact for Queries and Responses** | Mr Andrew Kermode Deputy Director – Banking, Supervision Division PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [Andrew.Kermode@iomfsa.im](mailto:Andrew.Kermode@iomfsa.im) Tel:01624 689320 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  | IOM-DSIB-Consultation <https://www.iomfsa.im/media/1909/iomdsibconsultation.pdf> |  |  | |  |  |  |  |  |  |  |  |  | IOMFSA Feedback to Consultation |  |  | |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/1908/iomfsafeedbacktoconsultationfina.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-06 QIS2 Exercise for Long Term Insurers |
| **Title** | CP15-06 QIS2 Exercise for Long Term Insurers |
| **Description** | This paper sets out the timescales for the QI2 exercise for life insurers and reinsurers, describes changes to the Standard Formula being tested in QIS2 compared with that for QIS1, and provides additional guidance on some aspects of the calculation in order to promote consistency of approach across respondents.  A technical specification is also attached, together with a set of risk free curves for the currencies set out in the technical specification (as at 31/12/14 and 31/3/15). Respondents should take care to use the relevant dated yield curves.  A set of 6 results templates is provided for population by life insurers with the results of their QIS2 calculations.  A questionnaire is included which insurers are requested to complete and return together with their results.  Please note that an updated version of the “QIS2\_Total\_” template was uploaded on 22 December 2015. |
| **Consultation Period** | 2 October 2015 - 31 March 2016 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | [CP15-06 QIS2 Long term insurers](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1506.pdf)  <https://www.iomfsa.im/media/1920/cp1506.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [TS15-06 updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/ts15061.pdf)  <https://www.iomfsa.im/media/1919/ts15061.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-1 - updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_1_1.xlsx)  <https://www.iomfsa.im/media/1918/qis2_1_1.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-2 updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_2_1.xlsx)  <https://www.iomfsa.im/media/1914/qis2_5_1.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-3 updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_3_1.xlsx)  <https://www.iomfsa.im/media/1916/qis2_3_1.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-4 updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_4_1.xlsx)  <https://www.iomfsa.im/media/1915/qis2_4_1.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-5 updated](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_5_1.xlsx)  <https://www.iomfsa.im/media/1914/qis2_5_1.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-Yield Curves](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_yield_curves.xlsx) <https://www.iomfsa.im/media/1913/qis2_yield_curves.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2-Yield Curves 310315](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_yield_curves_310315.xlsx) <https://www.iomfsa.im/media/1912/qis2_yield_curves_310315.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [Qualitative Questionnaire QIS2](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qualitative_questionnaire_qis2.xlsx) <https://www.iomfsa.im/media/1911/qualitative_questionnaire_qis2.xlsx> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [QIS2 Total](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_total_.xlsm) <https://www.iomfsa.im/media/1910/qis2_total_.xlsm> |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP16-01 Draft Insurance (Supplementary Information) Regulations 2016 |
| **Title** | CP16-01 Draft Insurance (Supplementary Information) Regulations 2016 |
| **Description** | The Authority has issues its response to the draft Insurance (Supplementary Information) Regulations 2016 consultation. The draft regulations set out the required reports and information (the ‘supplementary information’) required by section 14(4) of the Insurance Act 2008 to be submitted by insurers to the Authority at the same time as the audited accounts of the company are submitted.  The consultation closed on 11 March 2016 and the response document below summarises the comments received as a result of the consultation together with the response of the Authority. A copy of the final regulations which come into effect on 15 March 2016 can also be found below. |
| **Consultation Period** | 29 February 2016 - 11 March 2016 |
| **Contact for Queries and Responses** | Francesca Signorio-Hooper The Isle of Man Financial Services Authority PO Box 58, Finch Hill House Bucks Road, Douglas Isle of Man IM99 1DT Email: [francesca.signorio-hooper@iomfsa.im](mailto:francesca.signorio-hooper@iomfsa.im) |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Insurance (Supplementary Information) Regulations 2016  <https://www.iomfsa.im/media/2227/insurancesupplementaryinformationr1.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | CR16-01 supplementary Information - Responses  <https://www.iomfsa.im/media/2228/cr1601supplementaryinformation.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | Consulted copy - Insurance (Supplementary Information) Regulations 2016 |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2229/insurancesupplementaryinformationr.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | CP16-01 supplementary Information  <https://www.iomfsa.im/media/2230/cp1601supplementaryinformation.pdf> |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-07 QIS2 Exercise for Non-Life Insurers |
| **Title** | CP15-07 QIS2 Exercise for Non-Life Insurers |
| **Description** | This paper provides details of the second quantitative impact study for non-life insurance business (“QIS2”).  The technical specification and its appendices for non-life insurance business is also attached, together with a set of risk free curves for the currencies set out in the technical specification, results templates and a questionnaire.  Please note that on the basis of feedback received from the non-life sector, the Authority has decided to end the QIS2 exercise earlier than planned to enable some of the themes arising from feedback to be addressed before further work is undertaken by industry. A press release to this effect was issued on 15th February 2016.  This will enable the Authority to obtain from industry data on the extent to which the risks underwritten by each company are in respect of related or unrelated parties and to prepare refined proposals to be tested in a QIS3 exercise. |
| **Consultation Period** | 30 October 2015 - 11 February 2016 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [CP 15-07](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1507.pdf) <https://www.iomfsa.im/media/1922/cp1507.pdf> |  |  | | [TS 15-07](http://www.iomfsa.im/lib/docs/iomfsa/consultations/ts1507.pdf) <https://www.iomfsa.im/media/1923/ts1507.pdf> |  |  | | [TS 15-07 Appendices](http://www.iomfsa.im/lib/docs/iomfsa/consultations/ts1507appendices.pdf) <https://www.iomfsa.im/media/1924/ts1507appendices.pdf> |  |  | | [QIS2 NL](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_nl_.xlsx) <https://www.iomfsa.im/media/1925/qis2_nl_.xlsx> |  |  | | [QIS2 Template Instructions](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2templateinstructions.pdf) <https://www.iomfsa.im/media/1926/qis2templateinstructions.pdf> |  |  | | [QIS2 Yield Curves PoD NL](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis2_yield_curves_pod_nl.xlsx) <https://www.iomfsa.im/media/1927/qis2_yield_curves_pod_nl.xlsx> |  |  | | [Qualitative questionnaire NL](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qualitative_questionnaire_nl.xlsx) <https://www.iomfsa.im/media/1928/qualitative_questionnaire_nl.xlsx> |  |  | | [Counterparty Default Risk Template NL](http://www.iomfsa.im/lib/docs/iomfsa/consultations/counterparty_default_risk_template_.xlsx) <https://www.iomfsa.im/media/1929/counterparty_default_risk_template_.xlsx> |  |  | | [Helper Sheet Instructions](http://www.iomfsa.im/lib/docs/iomfsa/consultations/helpersheetinstructions.pdf) <https://www.iomfsa.im/media/1930/helpersheetinstructions.pdf> |  |  | | [Interest Rate Risk Template](http://www.iomfsa.im/lib/docs/iomfsa/consultations/interest_rate_risk_template_nl2.xlsm) <https://www.iomfsa.im/media/1931/interest_rate_risk_template_nl2.xlsm> |  |  | | [Spread Risk Template NL](http://www.iomfsa.im/lib/docs/iomfsa/consultations/spread_risk_template_nl.xlsx) <https://www.iomfsa.im/media/1934/spread_risk_template_nl.xlsx> |  |  | | [BEP Results](http://www.iomfsa.im/lib/docs/iomfsa/consultations/bep_results1.xlsx) <https://www.iomfsa.im/media/1933/bep_results1.xlsx> |  |  | | [BEP Model](http://www.iomfsa.im/lib/docs/iomfsa/consultations/bep_model1.xlsx) <https://www.iomfsa.im/media/1932/bep_model1.xlsx> |  |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Discussion paper on new types of banking/ deposit taking licences |
| **Title** | Discussion paper on new types of banking/ deposit taking licences |
| **Description** | The Isle of Man Financial Services Authority has today issued a public consultation on proposals to create three separate Class 1 (deposit taking) licence types.  Comments are welcome until Friday 5 February 2016. |
| **Consultation Period** | 4 January 2016 - 5 February 2016 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Discussion Paper - High Level Aspects |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/1935/discussionpaperhighlevelaspects.pdf> |  |  | |
|  |  |
| **Topic** | Miscellaneous Amendments to the Regulated Activities Order, Financial Services (Fees) Order and Financial Services (Exemptions) Regulations including amendments to the various exemptions for directors |
| **Title** | Miscellaneous Amendments to the Regulated Activities Order, Financial Services (Fees) Order and Financial Services (Exemptions) Regulations including amendments to the various exemptions for directors |
| **Description** | Part 2 of this consultation covers housekeeping and non-crowdfunding related amendments to the Regulated Activities Order and Financial Services (Exemptions) Regulations. which include amendments to the various exemptions for directors. It also covers amendments to the Financial Services (Fees) Order.  This Part is particularly relevant to –  • all persons holding a licence under the Financial Services Act 2008; and  • those who are exempt from requiring a licence under the Financial Services Act 2008, particularly individuals providing director services. |
| **Consultation Period** | 21 December 2015 - 31 January 2016 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | Consultation <https://www.iomfsa.im/media/1939/responseto2ndconsultationplusle.pdf> |  |  | | Appendix A  <https://www.iomfsa.im/media/1936/appendixarao.doc> |  |  | | Appendix B <https://www.iomfsa.im/media/1937/appendixberegs.pdf> |  |  | | Appendix C <https://www.iomfsa.im/media/1938/appendixcfeesorder.doc> |  |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Crowdfunding - Part 1, Other Non-crowdfunding Related Amendments - Part 2 |
| **Title** | Crowdfunding (Part 1) - Other Non-crowdfunding Related Amendments (Part 2) |
| **Description** | Part 1 - a brief summary of the responses to the second consultation on crowdfunding; the specific licence conditions which will apply to crowdfunding platforms; and Keeling Schedules of the Regulated Activities Order and Financial Services (Exemptions) Regulations and the draft Financial Services (Fees) Order (“the Relevant Legislation”). This Part is particularly relevant to –  • any person offering investment-based or loan-based crowdfunding services or considering providing such services; and  • to consumers who may be interested in using such services.  Part 2 - other housekeeping and non-crowdfunding related amendments to the Relevant Legislation which include amendments to the various exemptions for directors. This Part is particularly relevant to –  • all persons holding a licence under the Financial Services Act 2008; and  • those who are exempt from requiring a licence under the Financial Services Act 2008, particularly individuals providing director services. |
| **Consultation Period** | 21 December 2015 - 31 January 2016 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | Consultation <https://www.iomfsa.im/media/1940/responseto2ndconsultationplusle.pdf>  Appendix A  <https://www.iomfsa.im/media/1941/appendixarao.doc> |  |  | | Appendix B <https://www.iomfsa.im/media/1942/appendixberegs.pdf> |  |  | | Appendix C <https://www.iomfsa.im/media/1943/appendixcfeesorder.doc> |  |  | | Appendix D <https://www.iomfsa.im/media/1944/appendixddraftlicencecondition.doc> |  |  | | Appendix E <https://www.iomfsa.im/media/1945/appendixedisputes.doc> |  |  | | Appendix F <https://www.iomfsa.im/media/1946/appendixfmoneylenders.doc>  Combined Response to Third Consultation <https://www.iomfsa.im/media/1947/crowdfundingthirdconsultationrespons.pdf>  Regulated Activities Order (Keeling Schedule)  <https://www.iomfsa.im/media/1948/regulatedactivitiesorder2016keelingm.pdf>  Financial Services (Exemptions) Regulations (Keeling Schedule)  <https://www.iomfsa.im/media/1949/exemptionsregs2016keelingmar16.pdf>  Financial Services (Fees) Order 2016  <https://www.iomfsa.im/media/1950/financialservicesfeesorder2016mar1.pdf> |  |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-08 2016 Regulatory fees for entities regulated under Insurance Act 2008 and Retirement Benefits Schemes Act 2000 |
| **Title** | CP15-08 2016 Regulatory fees for entities regulated under Insurance Act 2008 and Retirement Benefits Schemes Act 2000 |
| **Description** | The Isle of Man Financial Services Authority (the ‘Authority’) has today issued a consultation document in respect of the 2016 fees for entities regulated under the Insurance Act 2008 and Retirement Benefits Schemes Act 2000 and invites comment from interested parties.  This document will be of direct interest to all entities regulated by the Authority under the Insurance Act 2008 and Retirement Benefits Schemes Act 2000.  The closing date for comments is 26 January 2016. |
| **Consultation Period** | 18 December 2015 - 26 January 2016 |
| **Contact for Queries and Responses** | Francesca Signorio-Hooper The Isle of Man Financial Services Authority PO Box 58, Finch Hill House Bucks Road, Douglas Isle of Man IM99 1DT Email: [francesca.signorio-hooper@iomfsa.im](mailto:francesca.signorio-hooper@iomfsa.im) |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | 2015 Fees for Entities Regulated under the Insurance Act 2006 and Retirement Benefits Schemes Act 2000  <https://www.iomfsa.im/media/1951/cp15082016ipdfees.pdf> |  |  | | Registered Schemes Administrators (Fees) Order 2016  <https://www.iomfsa.im/media/1951/registeredschemesadministratorsfees.pdf> |  |  | | Insurance (Fees) Regulations 2016  <https://www.iomfsa.im/media/1952/insurancefeesregulations2016_v01.pdf> |  |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-05 Insurance (Amendment) Bill 2016 |
| **Title** | CP15-05 Insurance (Amendment) Bill 2016 |
| **Description** | This consultation paper sets out proposed amendments to the Insurance Act 2008 which are needed to take account of developments in international insurance regulatory standards. The paper includes an explanation of the proposed amendments as well as a copy of the Insurance (Amendment) Bill 2016 and a marked up version of the Insurance Act to illustrate the changes. |
| **Consultation Period** | 30 September 2015 - 2 December 2015 |
| **Contact for Queries and Responses** | Mr Alan Rowe Senior Manager - Policy Insurance & Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [alan.rowe@ipa.gov.im](mailto:alan.rowe@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  | Insurance (Amendment) Bill 2016 |  | |  | <https://www.iomfsa.im/media/1953/cp1505insuranceamendmentbill2.pdf> |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Crowdfunding |
| **Title** | Second consultation on crowdfunding – incorporating summary of responses to first consultation |
| **Description** | The Financial Supervision Commission (“the Commission”) has today issued a second consultation document on crowdfunding which includes —  • a brief summary of the responses to the first consultation; • details of the planned regulated activity; • which rules contained in the Financial Services Rule Book 2013 would apply; and • an indication of the nature of specific new rules anticipated for crowdfunding activity.  The closing date for comments is 9 November 2015.  The first consultation (Consultation on a potential new regulated activity encompassing both equity and loan crowdfunding) was open from 16 April to 12 June 2015.  A Third Consultation was issued on 21 December 2015. The link to the Consultation is below. The Consultation page and Appendices can be found at http://www.iomfsa.im/ConsultationDetail.gov?id=554 |
| **Consultation Period** | 28 September 2015 - 9 November 2015 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  | | --- | --- | --- | | First consultation on a potential new regulated activity encompassing both equity and loan crowdfunding  <https://www.iomfsa.im/media/1955/consultationcrowdfunding16042015.pdf> |  |  | | Second consultation on crowdfunding – incorporating summary of responses to first consultation  <https://www.iomfsa.im/media/1954/consultationcrowdfunding280915.pdf> |  |  | | Consultation <https://www.iomfsa.im/media/1956/specificrulesconditionsforcrowdf.pdf> |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Basel III: Capital Adequacy and Leverage |
| **Title** | Consultation on Basel III: Capital Adequacy and Leverage |
| **Description** | As part of its work towards implementing Basel III, the Financial Supervision Commission has today issued a consultation on proposals to implement changes to the capital adequacy regime for deposit takers (banks) incorporated in the Isle of Man. The substantive changes proposed are as follows:  • Revised definitions of regulatory capital • Establishment of new minimum regulatory capital requirements • Certain changes to the calculation of Risk Weighted Assets • The introduction of a Leverage Ratio Reporting Requirement. The closing date for comments is 30 October 2015. |
| **Consultation Period** | 30 July 2015 - 30 October 2015 |
| **Contact for Queries and Responses** | Mr Andrew Kermode Deputy Director – Banking, Supervision Division PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [Andrew.Kermode@iomfsa.im](mailto:Andrew.Kermode@iomfsa.im) Tel:01624 689320 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  | | --- | --- | --- | | Consultation on Basel III: Capital Adequacy and Leverage |  |  | | <https://www.iomfsa.im/media/1957/baseliiicapitaladequacyandleverageco.pdf> |  |  | | Summary of Consultation Responses |  |  | |  | |  | <https://www.iomfsa.im/media/1958/consultationresponsesbaseliii.doc> |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-02 Conduct of business consultation paper |
| **Title** | CP15-02 Conduct of business consultation paper |
| **Description** | CP15-02 builds on the feedback received in response to the conduct of business discussion paper (DP14-05) and sets out in more detail proposals for changes to the IPA regulatory framework, including proposed principle based standards for conduct of business and, where appropriate, draft binding guidance supporting these standards and the changes being proposed. Following feedback on CP15-02, the Authority’s response to that feedback can also be found here. |
| **Consultation Period** | 24 July 2015 - 18 September 2015 |
| **Contact for Queries and Responses** | Mr  Paul  Ellison Manager - Policy Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [paul.ellison@ipa.gov.im](mailto:paul.ellison@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | CP15-02 Conduct of business consultation  <https://www.iomfsa.im/media/1959/cp1502.pdf> |  |  | | Summary of responses to CP15-02 |  |  | |  |  | | <https://www.iomfsa.im/media/1960/summaryofresponsestocp1502fo.pdf> |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-03 Transfer of Functions - Consequential Amendments |
| **Title** | CP15-03 Transfer of Functions - Consequential Amendments |
| **Description** | On 17 March 2015 Tynwald approved the Transfer of Functions (Isle of Man Financial Services Authority) Order 2015 which establishes the Isle of Man Financial Services Authority (the “IOMFSA”), transfers the functions from the IPA, the Supervisor and the Financial Supervision Commission (the “FSC”) to the IOMFSA and dissolves the FSC and IPA, with effect from 1 November 2015.  This will effectively merge the operations of the IPA and the FSC to create a unified financial services regulator in the Isle of Man.  This paper sets out the consequential amendments proposed to certain secondary legislation made under the Retirement Benefits Schemes Act 2000 and the Insurance Act 2008 to reflect the transfer of functions from the Supervisor and the IPA to the IOMFSA.  This consultation is now closed. The consultation response together with the transitional provisions as made are set out below. |
| **Consultation Period** | 21 August 2015 - 17 September 2015 |
| **Contact for Queries and Responses** | Miss Sarah Galovics Regulatory Officer - Pensions Insurance and Pensions Authority Ground Floor, Finch Hill House Douglas Isle of Man  IM1 3DF Email: [sarah.galovics@ipa.gov.im](mailto:sarah.galovics@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | CP15-03 Consultation response <https://www.iomfsa.im/media/1961/cp1503consultationresponsepaper.pdf> |  |  | | Retirement Benefits Schemes (Miscellaneous Amendments) Regulations 2015 <https://www.iomfsa.im/media/1962/retirementbenefitsschemesmiscellane.pdf> |  |  | | Insurance (Miscellaneous Amendments) Regulations 2015 <https://www.iomfsa.im/media/1963/insurancemiscellaneousamendmentsre.pdf> |  |  | | Corporate Governance (Amendment) Code of Practice for Regulated Insurance Entities <https://www.iomfsa.im/media/1964/corporategovernanceamendmentcodeof.pdf> |  |  | | Guidance Notes (Amendment) Anti-Money Laundering and Preventing the Financing of Terrorism for Insurers (Long-Term Business) <https://www.iomfsa.im/media/1965/guidancenotesamendmentonantimoney.pdf> |  |  | | Guidance Notes (Amendment) Insurance Special Purpose Vehicles <https://www.iomfsa.im/media/1966/guidancenotesamendmentforinsurance.pdf>  CP15-03 Transfer of functions - consequential amendments <https://www.iomfsa.im/media/1967/cp1503consequentialamendments.pdf> |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-04 Transfer of functions - transitional provisions |
| **Title** | CP15-04 Transfer of functions - transitional provisions |
| **Description** | On 17 March 2015 Tynwald approved the Transfer of Functions (Isle of Man Financial Services Authority) Order 2015 which establishes the Isle of Man Financial Services Authority (the “IOMFSA”), transfers the functions from the IPA, the Supervisor and the FSC to the IOMFSA and dissolves the FSC and IPA, with effect from 1 November 2015, effectively merging the operations of the IPA and the FSC to create a unified financial services regulator in the Isle of Man.  The draft Transitional Provisions Regulations provide for transitional provisions to enable certain advertisements and information which contain reference to the “Insurance and Pensions Authority” to continue to be used for a specified period after 1 November 2015.  This consultation is now closed. The consultation response together with the transitional provisions as made are set out below. |
| **Consultation Period** | 21 August 2015 - 17 September 2015 |
| **Contact for Queries and Responses** | Miss Sarah Galovics Regulatory Officer - Pensions Insurance and Pensions Authority Ground Floor, Finch Hill House Douglas Isle of Man  IM1 3DF Email: [sarah.galovics@ipa.gov.im](mailto:sarah.galovics@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | CP15-04 Consultation response <https://www.iomfsa.im/media/1969/cp1504consultationresponsepaper.pdf> |  |  | | Insurance (Transitional Provisions) (Amendment) Regulations 2015 <https://www.iomfsa.im/media/1970/insurancetransitionalprovisionsam.pdf> |  |  | | CP15-04 Transfer of functions - transitional provisions <https://www.iomfsa.im/media/1971/cp1504transitionalprovisions.pdf> |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-04 Transfer of functions - transitional provisions |
| **Title** | CP15-04 Transfer of functions - transitional provisions |
| **Description** | On 17 March 2015 Tynwald approved the Transfer of Functions (Isle of Man Financial Services Authority) Order 2015 which establishes the Isle of Man Financial Services Authority (the “IOMFSA”), transfers the functions from the IPA, the Supervisor and the FSC to the IOMFSA and dissolves the FSC and IPA, with effect from 1 November 2015, effectively merging the operations of the IPA and the FSC to create a unified financial services regulator in the Isle of Man.  The draft Transitional Provisions Regulations provide for transitional provisions to enable certain advertisements and information which contain reference to the “Insurance and Pensions Authority” to continue to be used for a specified period after 1 November 2015.  This consultation is now closed. The consultation response together with the transitional provisions as made are set out below. |
| **Consultation Period** | 21 August 2015 - 17 September 2015 |
| **Contact for Queries and Responses** | Miss Sarah Galovics Regulatory Officer - Pensions Insurance and Pensions Authority Ground Floor, Finch Hill House Douglas Isle of Man  IM1 3DF Email: [sarah.galovics@ipa.gov.im](mailto:sarah.galovics@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  | CP15-04 Consultation response  <https://www.iomfsa.im/media/1980/cp1504consultationresponsepaper.pdf> | |  |  |  |  |  |  | Insurance (Transitional Provisions) (Amendment) Regulations 2015 <https://www.iomfsa.im/media/1981/insurancetransitionalprovisionsam.pdf> | |  |  |  |  |  |  | CP15-04 Transfer of functions - transitional provisions <https://www.iomfsa.im/media/1982/cp1504transitionalprovisions.pdf> | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Amendment legislation |
| **Title** | Consultation on amendments to reflect new regulator |
| **Description** | The Financial Supervision Commission has today issued for consultation draft amendment legislation principally to replace references to the Commission with references to the Isle of Man Financial Services Authority (‘IoMFSA’), in the Rule Book and collective investment schemes regulations, with effect from the merger on 1 November 2015.  The consultation is primarily relevant to licenceholders that issue documentation that refers to the ‘Financial Supervision Commission’. The closing date for comments is 14 August 2015. |
| **Consultation Period** | 17 July 2015 - 14 August 2015 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | Consultation on Amendment Legislation <https://www.iomfsa.im/media/1983/consultationonmergeramdrulebookandci.pdf> |  |  | | Summary of Consultation Responses  <https://www.iomfsa.im/media/1984/mergeramdlegnconssumofrespsonses.pdf> |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Designated Businesses (Registration and Oversight) Bill 2014 |
| **Title** | Consultation on an Appointed Day Order for the Designated Businesses (Registration and Oversight) Act 2015 |
| **Description** | The Financial Supervision Commission has today issued a consultation on a draft Appointed Day Order which, subject to Royal Assent being granted, seeks to bring the remaining provisions of the new Act into operation on 1 September 2015. The consultation is open for a period of three weeks from 3 June to 25 June 2015. The consultation period is shorter than usual on the basis that the Appointed Day Order relates solely to the date upon which the provisions of the Bill (which have been subject to previous consultation) will come into operation.  The consultation will be of interest to: advocates and other lawyers; accountants and tax advisers; payroll agents; estate agents; persons undertaking lending or leasing business; non-profit organisations; traders in high-value goods; businesses associated with virtual currencies; and their advisers. |
| **Consultation Period** | 3 June 2015 - 25 June 2015 |
| **Contact for Queries and Responses** | Mr Andrew Kniveton Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [andrew.kniveton@iomfsa.im](mailto:andrew.kniveton@iomfsa.im) Tel:01624 689304 Fax:01624 689001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | Consultation on an Appointed Day Order for the Designated Businesses (Registration and Oversight) Act 2015  <https://www.iomfsa.im/media/1985/dbroa2015ado2015consultation.pdf> |  |  | | Designated Businesses (Registration and Oversight) Act 2015 (Appointed Day) Order 2015  <https://www.iomfsa.im/media/1986/dbroa2015ado2015.pdf> |  |  | | Summary of Responses to the Consultation on an Appointed Day Order for the Designated Businesses (Registration and Oversight) Act 2015  <https://www.iomfsa.im/media/1987/dbroa2015ado2015summary.pdf> |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Designated Businesses (Registration and Oversight) Bill 2014 |
| **Title** | Consultation regarding the draft secondary legislation for the Designated Businesses (Registration and Oversight) Bill 2014 |
| **Description** | The Financial Supervision Commission has issued a consultation on the draft secondary legislation for the Designated Business (Registration and Oversight) Bill 2014. The Bill, which has now been forwarded to the Ministry of Justice to seek Royal Assent, places responsibility on the Commission for the oversight of the adherence of certain businesses and professions to the Island’s AML/CFT legislation. The consultation seeks views on the following draft legislation necessary to bring the Bill into effect in —  • Designated Businesses (Registration and Oversight) (Amendment) Order 2015 • Designated Businesses (Fees) Order 2015 • Designated Businesses (Civil Penalties) Order 2015. The consultation will be of interest to: advocates and other lawyers; accountants and tax advisers; payroll agents; estate agents; persons undertaking lending or leasing business; non-profit organisations; traders in high-value goods; businesses associated with virtual currencies; and their advisers. |
| **Consultation Period** | 1 May 2015 - 12 June 2015 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | Consultation regarding the draft secondary legislation for the Designated Businesses (Registration and Oversight) Bill 2014  <https://www.iomfsa.im/media/1988/draftsecondarylegislationdnbill2014.pdf> |  |  | |  |  |  | Summary of responses to the consultation regarding the draft secondary legislation for the Designated Businesses (Registration and Oversight) Act 2015  <https://www.iomfsa.im/media/1989/dbroa15secondarylegislationsummary.pdf> |  |  | |  |  |  | Designated Businesses (Registration and Oversight) (Amendment) Order 2015  <https://www.iomfsa.im/media/1990/dbroa15amendmentorder.pdf> |  |  | |  |  |  | Designated Businesses (Fees) Order 2015  <https://www.iomfsa.im/media/1991/dbroa15feesorder.pdf> |  |  | |  |  |  | Designated Businesses (Civil Penalties) Order 2015  <https://www.iomfsa.im/media/1992/dbroa15penaltiesorder.pdf> |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP15-01 Consultation on the unit growth rate assumptions and valuation rates of interest in the valuation of long term liabilities of insurers |
| **Title** | CP15-01 Draft Insurance (Amendment) (Valuation of Liabilities Rates of Interest) Regulations 2015 |
| **Description** | The Insurance and Pensions Authority has drafted the Insurance (Amendment) (Valuation of Liabilities Rates of Interest) Regulations 2015 (the “draft Regulations”) which clarify the expectations of the Authority in respect of the unit growth rates assumptions used by insurers in the valuation of unit-linked long term insurance liabilities.  In addition, the draft Regulations introduce a new permitted approach to the derivation of the valuation rate of interest for non linked contracts which are not cancellable by the policyholder, where the cash flows of the liabilities are predictable and can be determined with reasonable certainty and where the cash flows of assets and liabilities are, and will remain, closely matched.  A copy of the consultation paper and draft Regulations are attached below and comments are invited from interested parties. |
| **Consultation Period** | 16 April 2015 - 28 May 2015 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | CP15-01 Insurance (Amendment) (Valuation of Liabilities Rates of Interest) Regulations 2015 <https://www.iomfsa.im/media/1993/cp1501valuationregs.pdf> |  |  | | Draft Insurance (Amendment) (Valuation of Liabilities Rates of Interest) Regulations 2015 <https://www.iomfsa.im/media/1994/insuranceamendmentvaluationofliab.pdf> |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Second Consultation on a General Review of Collective Investment Schemes |
| **Title** | Second Consultation on a General Review of Collective Investment Schemes |
| **Description** | This consultation will be of direct interest to all current licenceholders, especially those providing services to collective investment schemes, corporate service providers that provide services to closed-ended investment companies and other permitted persons. |
| **Consultation Period** | 26 February 2015 - 24 April 2015 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | Second Consultation on a General Review of Collective Investment Schemes  <https://www.iomfsa.im/media/1995/cisgenrevconsultation2.pdf> |  |  | | Responses to the Second Consultation on the General Review of Collective Investment Schemes |  |  | |  |  | | <https://www.iomfsa.im/media/1996/cisgenrevconsultation2response.pdf> |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP14-06 Launch of QIS1 |
| **Title** | CP14-06 Launch of QIS1 |
| **Description** | This paper provides details of the first quantitative impact study for life insurance business (“QIS1”).  The updated technical specification following the consultation on valuation and capital adequacy for life insurance business is also attached, together with a set of risk free curves for the currencies set out in the technical specification.  A set of 6 results templates are provided for population by life insurers with the results of their QIS1 calculations.  A questionnaire is included which insurers are requested to complete and return together with their results.  A document has been added to provide an update on QIS1 and a request for information. |
| **Consultation Period** | 30 September 2014 - 30 March 2015 |
| **Contact for Queries and Responses** | Mr  Brian Manyanga Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [brian.manyanga@ipa.gov.im](mailto:brian.manyanga@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | [QIS1 Update](http://www.iomfsa.im/lib/docs/iomfsa/qis1update.pdf)  <https://www.iomfsa.im/media/2231/qis1update.pdf> |  |  | |  |  |  | [Launch of QIS1](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1406launchofqis1.pdf) <https://www.iomfsa.im/media/2232/cp1406launchofqis1.pdf> |  |  | |  |  |  | [TS14-06](http://www.iomfsa.im/lib/docs/iomfsa/ts1406.pdf) <https://www.iomfsa.im/media/1999/ts1406.pdf> |  |  | |  |  |  | [QIS1 Results Template Total](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis1_total_1.xlsm)  <https://www.iomfsa.im/media/2000/qis1_total_1.xlsm> |  |  | |  |  |  | [QIS Yield Curves](http://www.iomfsa.im/lib/docs/iomfsa/consultations/qis1_yield_curves.xlsx) <https://www.iomfsa.im/media/2001/qis1_yield_curves.xlsx> |  |  | |  |  |  | [QIS1 Template 1](http://www.iomfsa.im/lib/docs/iomfsa/qis1_1_.xlsx)  <https://www.iomfsa.im/media/2002/qis1_1_.xlsx> |  |  | |  |  |  | [QIS1 Template 2](http://www.iomfsa.im/lib/docs/iomfsa/qis1_2_.xlsx) <https://www.iomfsa.im/media/2003/qis1_2_.xlsx> |  |  | |  |  |  | [QIS1 Template 3](http://www.iomfsa.im/lib/docs/iomfsa/qis1_3_.xlsx)  <https://www.iomfsa.im/media/2004/qis1_3_.xlsx> |  |  | |  |  |  | [QIS1 Template 4](http://www.iomfsa.im/lib/docs/iomfsa/qis1_4_.xlsx)  <https://www.iomfsa.im/media/2005/qis1_4_.xlsx> |  |  | |  |  |  | [QIS1 Template 5](http://www.iomfsa.im/lib/docs/iomfsa/qis1_5_.xlsx)  <https://www.iomfsa.im/media/2006/qis1_5_.xlsx> |  |  | |  |  |  | [Qualitative questionnaire](http://www.iomfsa.im/lib/docs/iomfsa/insurance/qualitativequestionnaire.xlsx) <https://www.iomfsa.im/media/2007/qualitativequestionnaire.xlsx> |  |  | |  |  | |  |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on credit union framework |
| **Title** | Consultation on credit union framework |
| **Description** | The Commission has today issued a high level consultation on the proposed amendment of the Credit Unions Act 1993 and development of a regulatory framework. |
| **Consultation Period** | 30 January 2015 - 13 March 2015 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | Consultation on credit union framework <https://www.iomfsa.im/media/2008/consultationoncreditunionshighleveli.pdf> |  |  | | Summary of responses to high level consultation on Credit Unions <https://www.iomfsa.im/media/2009/summaryofresponsestohighlevelc.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |  |
| --- | --- | --- |
| **Topic** | | DP14-09 Enterprise Risk Management, Internal Models and Operational Risk for life insurers - discussion paper |
| **Title** | | DP14-09 Enterprise Risk Management, Internal Models and Operational Risk for life insurers - discussion paper |
| **Description** | | This discussion paper sets out issues relating to the use of Enterprise Risk Management by life insurers, the use of internal models for regulatory capital purposes, and the calculation of capital requirements for operational risk, all in the context of life insurance business.  This document will be of direct interest to all existing and prospective insurance companies undertaking long term insurance business in or from the Isle of Man. Other parties with an interest in the Isle of Man life assurance sector may also find this discussion paper and the issues raised of interest.  Feedback on responses to the discussion paper is also provided. |
| **Consultation Period** | | 23 December 2014 - 13 February 2015 |
| **Contact for Queries and Responses** | | Mr  Brian Manyanga Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [brian.manyanga@ipa.gov.im](mailto:brian.manyanga@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | DP14-09 ERM, Internal Models and Operational Risk for life insurers  <https://www.iomfsa.im/media/2010/dp1409ermintlmodelsoprisk.pdf> |  |  | |  |  |  | Feedback on Responses to DP14-09 <https://www.iomfsa.im/media/2011/feedbackresponsetodp1409.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | | |

|  |  |
| --- | --- |
| **Topic** | Consultations issued by the Commission on proposals to introduce discretionary civil penalties for serious regulatory failings |
| **Title** | Consultation on Implementation of Discretionary Civil Penalties |
| **Description** | On 19 December 2014 the Commission published a summary of responses to the second consultation which includes a summary of the responses received and details of subsequent amendments and clarifications to proposals for discretionary civil penalties for serious regulatory failings. In addition to the above, the Commission published the revised draft Regulations and draft Guidance Note as part of a third and final round of consultation prior to implementation in 2015. Comments on the changes made to the proposals and their impact are welcome – preferably by email.  For information: The first consultation was carried out from 25 January to 8 March 2013. The second consultation was carried out from 24 January to 21 March 2014. The third consultation was carried out from 19 December 2014 to 30 January 2015. |
| **Consultation Period** | 19 December 2014 - 30 January 2015 |
| **Contact for Queries and Responses** | Mr Andrew Kniveton Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [andrew.kniveton@iomfsa.im](mailto:andrew.kniveton@iomfsa.im) Tel:01624 689304 Fax:01624 689001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [First Consultation on Civil Penalties - Expansion of Use](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationoncivilpenaltiesex.pdf)  <https://www.iomfsa.im/media/2012/consultationoncivilpenaltiesex.pdf> |  |  | | [Summary of Responses to the First Consultation on Civil Penalties - Expansion of Use](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationresponsecivilpenaltie.pdf)  <https://www.iomfsa.im/media/2013/consultationresponsecivilpenaltie.pdf> |  |  | | [Second Consultation on Civil Penalties – Expansion of Use](http://www.iomfsa.im/lib/docs/iomfsa/consultations/secondconsultationoncivilpenaltiesex.pdf)  <https://www.iomfsa.im/media/2014/secondconsultationoncivilpenaltiesex.pdf> |  |  | | [Second Consultation - Draft Financial Services (Civil Penalties) Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicescivilpenaltiesreg.pdf)  <https://www.iomfsa.im/media/2015/financialservicescivilpenaltiesreg.pdf> |  |  | | [Second Consultation - Draft Civil Penalties Framework - Guidance Note](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftcivilpenaltiesguidance.pdf)  <https://www.iomfsa.im/media/2016/draftcivilpenaltiesguidance.pdf> |  |  | | [Summary of Responses to the Second Consultation on Civil Penalties](http://www.iomfsa.im/lib/docs/iomfsa/consultations/responsesecondcivilpenaltiesconsulta.pdf)  <https://www.iomfsa.im/media/2017/responsesecondcivilpenaltiesconsulta.pdf> |  |  | | [Third Consultation – Implementation of Discretionary Civil Penalties](http://www.iomfsa.im/lib/docs/iomfsa/consultations/thirdconsultationimplementationdi.pdf)  <https://www.iomfsa.im/media/2018/thirdconsultationimplementationdi.pdf> |  |  | | [Third Consultation – Draft Financial Services (Civil Penalties) Regulations 2015](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftcivilpenaltiesregs2.pdf)  <https://www.iomfsa.im/media/2019/draftcivilpenaltiesregs2.pdf> |  |  | | [Third Consultation – Draft Civil Penalties Framework – Guidance Note](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftcivilpenaltiesguidance2.pdf)  <https://www.iomfsa.im/media/2020/draftcivilpenaltiesguidance2.pdf> |  |  | | [Summary of Responses to the Third Consultation on Civil Penalties](http://www.iomfsa.im/lib/docs/iomfsa/civilpenaltiessummaryresponse3.pdf)  <https://www.iomfsa.im/media/2021/civilpenaltiessummaryresponse3.pdf> |  |  | | [Financial Services (Civil Penalties) Regulations 2015 (Final Draft)](http://www.iomfsa.im/lib/docs/iomfsa/draftcivilpenaltiesregs3.pdf)  <https://www.iomfsa.im/media/2022/draftcivilpenaltiesregs3.pdf> |  |  | | [Civil Penalties Framework – Guidance Note (Final Draft)](http://www.iomfsa.im/lib/docs/iomfsa/draftcivilpenaltiesguidance3.pdf)  <https://www.iomfsa.im/media/2023/draftcivilpenaltiesguidance3.pdf> |  |  | |  |  | |  |  |  |  |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on Changes to Regulatory Fees from 2015 |
| **Title** | Consultation on Changes to Regulatory Fees from 2015 |
| **Description** | This consultation is particularly relevant to all licence applicants, licenceholders, and collective investment schemes. |
| **Consultation Period** | 12 December 2014 - 30 January 2015 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | Consultation on Changes to Regulatory Fees from 2015  <https://www.iomfsa.im/media/2233/consultationonchangestoregulator.pdf> |  |  | |  |  |  |  |  |  | Summary of Comments from the Consultation on Changes to Regulatory Fees from 2015  <https://www.iomfsa.im/media/2234/summaryfeesconsultation2015.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP14-08 2015 Regulatory Fees |
| **Title** | CP14-08 2015 Regulatory Fees |
| **Description** | On 12 December 2014 the Insurance and Pensions Authority issued for consultation the draft Insurance (Fees) Regulations 2015, the draft Registered Schemes Administrators (Fees) Order 2015 and the draft Registered Schemes Administrators (Fees) Regulations 2015.  The draft Regulations and Order prescribe, with effect from April 2015 onwards, the application and annual fees charged to entities regulated by the Insurance and Pensions Authority under the Insurance Act 2008 and the Retirement Benefits Schemes Act 2000.  Copies of the consultation response together with the original consultation document and the draft regulations and order can be found below.  This consultation is now closed. |
| **Consultation Period** | 12 December 2014 - 21 January 2015 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  | [CP14-08 Consultation response](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1408responsepaper2015fees.pdf) <https://www.iomfsa.im/media/2024/cp1408responsepaper2015fees.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Draft Insurance Fee Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/insurancefeesregulations2015_v01.pdf) <https://www.iomfsa.im/media/2025/insurancefeesregulations2015_v01.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Draft Registered Schemes Administrator Fees Order](http://www.iomfsa.im/lib/docs/iomfsa/consultations/registeredschemesadministratorsfees.pdf) <https://www.iomfsa.im/media/2026/registeredschemesadministratorsfees.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Draft Registered Schemes Administrator Fees Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/registeredschemesadministratorsfees1.pdf) <https://www.iomfsa.im/media/2027/registeredschemesadministratorsfees1.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [CP14-08 2015 Regulatory Fees Consultation Paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp14082015fees.pdf) <https://www.iomfsa.im/media/2028/cp14082015fees.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Secondary legislation in connection with Insurance Special Purpose Vehicles |
| **Title** | CP14-07 Consultation on secondary legislation in connection with Insurance Special Purpose Vehicles ("ISPVs") |
| **Description** | This consultation document proposes the making of secondary legislation to remove any potential uncertainty arising from section 40 of the Gaming, Betting and Lotteries Act 1988 in connection with the validity and enforceability of non-indemnity triggered contracts of (re)insurance as may be written by ISPVs.  In relation to this consultation a single response was received which included only comments of general support for the secondary legislation proposed. The necessary processes will now be undertaken, subject to obtaining such approvals as are required, in order to bring the secondary legislation into effect. |
| **Consultation Period** | 4 November 2014 - 25 November 2014 |
| **Contact for Queries and Responses** | Mr Alan Rowe Senior Manager - Policy Insurance & Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [alan.rowe@ipa.gov.im](mailto:alan.rowe@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | ISPV non-indemnity trigger consultation |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2029/consultationpaperilsnonindemnity.pdf> |  |  |  |  |  |  |  |  |  |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on a General Review of Collective Investment Schemes |
| **Title** | Consultation on a General Review of Collective Investment Schemes |
| **Description** | This consultation is particularly relevant to all current licenceholders, especially those providing services to collective investment schemes, corporate service providers, and other permitted persons. |
| **Consultation Period** | 1 August 2014 - 31 October 2014 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Consultation on a General Review of Collective Investment Schemes  <https://www.iomfsa.im/media/2030/consultationcollectiveinvestmentsche1.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | summary of consultation comments  <https://www.iomfsa.im/media/2031/summaryofconsultationcomments.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Feedback on CP14-04 and TS14-04 |
| **Title** | Feedback on CP14-04 and TS14-04 |
| **Description** | This paper provides the IPA’s responses to feedback received as a result of the consultation on valuation and capital adequacy for life insurance business and technical specification. |
| **Consultation Period** | 30 September 2014 - 30 October 2014 |
| **Contact for Queries and Responses** | Mr  Brian Manyanga Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [brian.manyanga@ipa.gov.im](mailto:brian.manyanga@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Feedback on CP14-04 and TS14-04 <https://www.iomfsa.im/media/2032/feedbackoncp1404andts1404.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CP14-04 - Valuation and capital adequacy for long term insurance consultation paper |
| **Title** | CP14-04 Valuation and capital adequacy for long term insurance consultation paper |
| **Description** | This paper sets out the IPA’s current thinking in respect of the assessment of the capital adequacy of an insurer undertaking long term insurance business, including the valuation of the assets and liabilities for solvency purposes. It is accompanied by the publication of the IPA’s Technical Specification detailing possible approaches to the valuation of long term insurance assets and liabilities and the assessment of capital adequacy of long term insurers using a “standard formula” approach. |
| **Consultation Period** | 31 July 2014 - 12 September 2014 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Valuation and capital adequacy for life insurers |  |  | |  |  | |  |  |   <https://www.iomfsa.im/media/2033/cp1404.pdf> |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | TS14-04 Proposed Technical Specification for QIS1 for long term insurers |
| **Title** | TS14-04 Proposed Technical Specification for QIS1 for long term insurers |
| **Description** | This proposed technical specification accompanies the consultation paper on valuation and capital adequacy for long term insurance. It details possible approaches to the valuation of long term insurance assets and liabilities and the assessment of capital adequacy of long term insurers using a “standard formula” approach.  The technical specification will form the basis of the IPA’s first Quantitative Impact Study (“QIS1”). QIS1 will be launched in September 2014 and will require all life insurers to produce, on a best efforts basis, balance sheets and capital requirements using an approach reflecting the IPA’s initial proposals for the framework for valuation and capital adequacy for the new regulatory regime. |
| **Consultation Period** | 31 July 2014 - 12 September 2014 |
| **Contact for Queries and Responses** | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | [Proposed Technical Specification for QIS1 for long term insurers](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1404.pdf)  <https://www.iomfsa.im/media/2034/ts1404.pdf> |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | DP14-05 Conduct of Business Discussion Paper |
| **Title** | DP14-05 Conduct of Business Discussion Paper |
| **Description** | DP14-05 sets out the IPA’s initial views on developing the Island’s existing regulatory framework in matters of conduct of business, taking into account developing international standards, including the IAIS ICPs.  A summary of the feedback received to the discussion paper is also provided. |
| **Consultation Period** | 29 July 2014 - 5 September 2014 |
| **Contact for Queries and Responses** | Mr  Paul  Ellison Manager - Policy Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [paul.ellison@ipa.gov.im](mailto:paul.ellison@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  | | --- | --- | --- | --- | --- | | |  | | --- | | Feedback on DP14-05 <https://www.iomfsa.im/media/2035/feedbackondp1405.pdf> | | DP14-05 Conduct of Business  <https://www.iomfsa.im/media/2036/dp1405.pdf> | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance Supplementary Fee Consultation Paper |
| **Title** | CP14-03 Insurance Supplementary Fee Consultation Paper |
| **Description** | Following consultation the Insurance and Pensions Authority issues the consultation response document in respect of the Insurance Duty Order 2014 and Insurance Duty Regulations 2014 which prescribe the one-off duty payable by insurance managers which act as manager for three or more insurers and those insurers which write class 1, 2 or 10 insurance business (‘long term insurers’).  A copy of the Order and Regulations which come into effect on 22 December 2014 are available for download at the foot of this page. |
| **Consultation Period** | 4 July 2014 - 15 August 2014 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Insurance Duty Order 2014 SD 2014/0352](http://www.iomfsa.im/lib/docs/iomfsa/insurance/insurancedutyorder2014.pdf) <https://www.iomfsa.im/media/2038/insurancedutyorder2014.pdf> |  |  | | [Insurance Duty Regulations 2014 SD 2014/0353](http://www.iomfsa.im/lib/docs/iomfsa/insurance/insurancedutyregulations2014.pdf) <https://www.iomfsa.im/media/2039/insurancedutyregulations2014.pdf> |  |  | | [Insurance One-Off Supplementary Fee](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1403supplementaryfee.pdf) <https://www.iomfsa.im/media/2040/cp1403supplementaryfee.pdf> |  |  | | [Draft Insurance Supplementary Fees Order 2014](http://www.iomfsa.im/lib/docs/iomfsa/consultations/insurancesupplementaryfeesorder2014_.pdf) <https://www.iomfsa.im/media/2041/insurancesupplementaryfeesorder2014_.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance Special Purpose Vehicles |
| **Title** | CP14-02 - Insurance Special Purpose Vehicles |
| **Description** | The ISPV regulatory framework was consulted upon between 8 May 2014 and 19 June 2014 and has been refined further following that consultation. A summary of the consultation responses received with our further comments, including a summary of changes made post consultation are available below, together with the original consultation documents. |
| **Consultation Period** | 8 May 2014 - 19 June 2014 |
| **Contact for Queries and Responses** | Mr Alan Rowe Senior Manager - Policy Insurance & Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [alan.rowe@ipa.gov.im](mailto:alan.rowe@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | [Response to consultation on ISPV framework](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationresponse.pdf)  <https://www.iomfsa.im/media/2042/consultationresponse.pdf> |  |  | |  |  |  | [ISPV non-indemnity trigger consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationpaperilsnonindemnity.pdf) <https://www.iomfsa.im/media/2044/consultationpaperilsnonindemnity.pdf> |  |  | |  |  |  | [CP14-02 - Insurance Special Purpose Vehicles](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cp1402ispv.pdf) <https://www.iomfsa.im/media/2043/cp1402ispv.pdf> |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on Revisions to the Financial Services (Appointment of Manager) Order |
| **Title** | Consultation on Revisions to the Financial Services (Appointment of Manager) Order |
| **Description** | The Financial Supervision Commission (‘the Commission’) issued a consultation on revisions to the Financial Services (Appointment of Manager) Order 2008 (‘the Order’) on 25 April 2014.  The Commission considered it necessary to amend the prescribed circumstances in the Schedule to ensure that the Order refers to the appropriate legislation and adequately reflects the circumstances in which the Commission may wish to apply to the High Court for the appointment of a manager.  This consultation was relevant to all current licenceholders and permitted persons. |
| **Consultation Period** | 25 April 2014 - 6 June 2014 |
| **Contact for Queries and Responses** | Mr Andrew Kniveton Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [andrew.kniveton@iomfsa.im](mailto:andrew.kniveton@iomfsa.im) Tel:01624 689304 Fax:01624 689001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  | Draft Financial Services Appointment of Manager Order (as consulted) <https://www.iomfsa.im/media/2047/financialservicesappointmentmanagero.pdf> |  |  |  |  | |  | Consultation on Revisions to the Financial Services (Appointment of Manager) Order <https://www.iomfsa.im/media/2046/consultationonrevisionstothefin.pdf> |  |  |  |  | |  | Summary of Consultation Responses and Final Draft Legislation <https://www.iomfsa.im/media/2045/draftfinancialservicesappointment.pdf> |  |  |  |  | |  |  | |  |  |  |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |  |
| --- | --- | --- |
| **Topic** | | The Valuation of assets and liabilities for solvency purposes |
| **Title** | | DP14-01 / TS14-01(D) The Valuation of assets and liabilities for solvency purposes |
| **Description** | | This paper sets out the IPA’s current thinking in respect of the valuation of the assets and liabilities for solvency purposes of insurers undertaking long term insurance business in advance of a more detailed consultation later in the year.  The discussion document (DP14-01) is issued in order that such insurers can prepare their valuation systems ahead of the planned Quantitative Impact Study later in 2014/15. It is accompanied by a draft Technical Specification (TS14-01(D)), which sets out further technical detail of the likely approach, to assist with this preparation. |
| **Consultation Period** | | 30 April 2014 - 30 May 2014 |
| **Contact for Queries and Responses** | | Mr Neil Taverner Senior Actuary  Ground Floor, Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF  Email: [neil.taverner@ipa.gov.im](mailto:neil.taverner@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | Discussion document - valuation of the assets and liabilities for solvency purposes <https://www.iomfsa.im/media/2048/dp1401.pdf> |  |  | |  |  |  |  |  |  | Draft Technical Specification - valuation of the assets and liabilities for solvency purposes <https://www.iomfsa.im/media/2049/ts1401d.pdf> |  |  | |  |  | |  |  | |  | |  |  | | |

|  |  |
| --- | --- |
| **Topic** | Consultation on Draft Register of Authorised Auditor Regulations |
| **Title** | Consultation on Draft Register of Authorised Auditor Regulations |
| **Description** | The Financial Supervision Commission has issued a consultation on draft Regulations for audit firms authorised under section 14E of the Companies Act 1982. These Regulations form part of the Commission’s proposals to introduce:  1. A public register of all audit firms authorised under section 14E of the Act; 2. Application, authorisation extension and annual registration fees in respect of such authorisations; 3. A requirement for audit firms authorised under section 14E of the Act to submit an annual return to the Commission; and 4. A detailed biennial review process for such authorisations.  This consultation is particularly relevant to firms either currently authorised or seeking authorisation to audit Isle of Man companies under section 14E of the Act in addition to corporate service providers and legal advisers to such firms. |
| **Consultation Period** | 11 April 2014 - 23 May 2014 |
| **Contact for Queries and Responses** | Mr Andrew Kniveton Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [andrew.kniveton@iomfsa.im](mailto:andrew.kniveton@iomfsa.im) Tel:01624 689304 Fax:01624 689001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  | [Consultation on Draft Register of Authorised Auditor Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationondraftregisterofau.pdf) |  |  | |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2050/consultationondraftregisterofau.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Appendix A - Draft Register of Authorised Auditor Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixadraftregisterofautho1.pdf)  <https://www.iomfsa.im/media/2051/appendixadraftregisterofautho1.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Appendix B - Draft Register Entry Template](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixbdraftregisterentryte1.pdf)  <https://www.iomfsa.im/media/2052/appendixbdraftregisterentryte1.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Appendix C - Draft Application Form and Authorisation Criteria](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixcdraftapplicationform1.pdf) |  |  | |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2053/appendixcdraftapplicationform1.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Appendix D - Draft Annual Return Form](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixddraftannualreturnfor1.pdf)  <https://www.iomfsa.im/media/2054/appendixddraftannualreturnfor1.pdf> |  |  | |  |  |  |  |  |  |  |  |  | [Appendix E - Draft Appointment of Responsible Individual Form](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixedraftappointmentofre1.pdf) |  |  | |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2055/appendixedraftappointmentofre1.pdf> |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2014 |
| **Title** | Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2014 |
| **Description** | The Financial Supervision Commission ("the Commission") has today issued a consultation document on the draft Designated Businesses (Registration and Oversight) Bill 2014 ("the Bill"). |
| **Consultation Period** | 20 February 2014 - 2 April 2014 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | Designated Businesses (Registration and Oversight) Bill 2014  <https://www.iomfsa.im/media/2056/designatedbusinessesregistrationand1.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | Further Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2014  <https://www.iomfsa.im/media/2057/dnfbpbillconsultationdocfeb2014awf.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | Summary of consultation responses |  |  | |  |  | |  |  | | <https://www.iomfsa.im/media/2058/consultationfeedbackdraftdesignat.pdf> |  |  | |  | |  |  | | |

|  |  |
| --- | --- |
| **Topic** | 2014 Regulatory fees of the Insurance and Pensions Authority |
| **Title** | 2014 Regulatory fees of the Insurance and Pensions Authority |
| **Description** | Following consultation over the period from 6 December 2013 to 10 January 2014, the Insurance and Pensions Authority has made the Insurance (Fees) Regulations 2014 and the Registered Schemes Administrators (Fees) Order 2014.  The regulations prescribe, with effect from April 2013 onwards, the annual fees charged to entities regulated by the Insurance and Pensions Authority under the Insurance Act 2008 and the Retirement Benefits Schemes Act 2000.  Copies of the consultation document, together with the response document, can be found below, together with the Regulations which come into effect on 1 April 2014.  This consultation is now closed. |
| **Consultation Period** | 6 December 2013 - 10 January 2014 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | 2014 Fee consultation response <https://www.iomfsa.im/media/2059/2014consultationresponse.pdf> |  |  | | 2014 Regulatory Fees Consultation Document <https://www.iomfsa.im/media/2060/2014consultationonfees.pdf> |  |  | | Insurance Fee Regulations <https://www.iomfsa.im/media/2061/insurancefeesregulations2014.pdf> |  |  | | Pension Fees Order 2014 <https://www.iomfsa.im/media/2062/pensionfeesorder2014.pdf> |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on draft Collective Investment Schemes (Authorised Schemes) (Trustee and Fiduciary Custodian) Order |
| **Title** | Consultation on draft Collective Investment Schemes (Authorised Schemes) (Trustee and Fiduciary Custodian) Order |
| **Description** | The Commission has today issued a consultation on a draft order relating to trustees and fiduciary custodians of Authorised Collective Investment Schemes. The consultation will be of particular interest to those licenceholders that provide services to such schemes. The consultation is open until 4 October 2013. |
| **Consultation Period** | 30 August 2013 - 4 October 2013 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |  |  | [Consultation on draft Collective Investment Schemes (Authorised Schemes) (Trustee and Fiduciary Custodian) Order](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/consultationondraftcollectiveinv.pdf)  <https://www.iomfsa.im/media/2063/consultationondraftcollectiveinv.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Summary of responses to consultation on draft Collective Investment Schemes (Authorised Schemes) (Trustee and Fiduciary Custodian) Order 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/authschemescustodianorderconsultatio.pdf)  <https://www.iomfsa.im/media/2064/authschemescustodianorderconsultatio.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Collective Investment Schemes (Authorised Schemes) (Trustee and Fiduciary Custodian) Order 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/collectiveinvestmentschemesauthoris.pdf)  <https://www.iomfsa.im/media/2065/collectiveinvestmentschemesauthoris.pdf> |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on draft Transfer of Business Including Deposit-Taking Regulations |
| **Title** | Consultation on draft Transfer of Business Including Deposit-Taking Regulations |
| **Description** | The Commission has today issued a consultation on draft regulations to permit the transfer of business including deposit-taking from a Class 1 licenceholder to another entity. The consultation will be of particular interest to Class 1 licenceholders and their advisers. The consultation is open until 18 September 2013. |
| **Consultation Period** | 6 August 2013 - 18 September 2013 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultation on draft Transfer of Business Including Deposit-Taking Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/transferofdeposittakingregsconsultat.pdf)  <https://www.iomfsa.im/media/2066/transferofdeposittakingregsconsultat.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Summary of responses to consultation on draft Transfer of Business Including Deposit-Taking Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/transferofdeposittakingconsultationc.pdf)  <https://www.iomfsa.im/media/2067/transferofdeposittakingconsultationc.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Transfer of Business Including Deposit-Taking Regulations 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/transferofbusinessincludingdepositt.pdf)  <https://www.iomfsa.im/media/2068/transferofbusinessincludingdepositt.pdf> |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on revisions to the Financial Services Rule Book, Regulated Activities Order and Financial Services (Exemption) Regulations |
| **Title** | Consultation on revisions to the Financial Services Rule Book, Regulated Activities Order and Financial Services (Exemption) Regulations |
| **Description** | The Commission has today issued a consultation on revisions to the Financial Services Rule Book, Regulated Activities Order and Financial Services (Exemption) Regulations. The consultation is open until 31 August 2013 |
| **Consultation Period** | 27 June 2013 - 31 August 2013 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  | [Consultation on revisions to the Financial Services Rule Book, Regulated Activities Order and Financial Services (Exemption) Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationdoc270613.dot)  <https://www.iomfsa.im/media/2069/consultationdoc270613.doc>   |  | | --- | | [Tracked changes from current to draft Rule Book](http://www.iomfsa.im/lib/docs/iomfsa/consultations/trackedchangesfromcurrenttodraf.pdf)  <https://www.iomfsa.im/media/2071/trackedchangesfromcurrenttodraf.pdf> | | [Summary of consultation responses and changes made](http://www.iomfsa.im/lib/docs/iomfsa/consultations/summaryofconsultationresponsesan.pdf)  <https://www.iomfsa.im/media/2075/summaryofconsultationresponsesan.pdf> | | [Financial Services Rule Book 2016](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicesrulebook20131.pdf)  <https://www.iomfsa.im/media/2072/financialservicesrulebook20131.pdf> | | [Regulated Activities (Amendment) Order 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/regulatedactivitiesamendmentorder2.pdf)  <https://www.iomfsa.im/media/2070/regulatedactivitiesamendmentorder2.pdf> | | [Financial Services (Exemptions) (Amendment) Regulations 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicesexemptionsamendm.pdf)  <https://www.iomfsa.im/media/2073/financialservicesexemptionsamendm.pdf>  [Regulated Activities Order (as amended)](http://www.iomfsa.im/lib/docs/iomfsa/consultations/regulatedactivitiesorder2011.pdf) <https://www.iomfsa.im/media/2074/regulatedactivitiesorder2011.pdf> | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2013 |
| **Title** | Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2013 |
| **Description** | The Financial Supervision Commission (“the Commission”) has today issued a consultation document on the draft Designated Businesses (Registration and Oversight) Bill 2013 (“the Bill”). |
| **Consultation Period** | 26 June 2013 - 26 July 2013 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Consultation regarding the draft Designated Businesses (Registration and Oversight) Bill 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/dnfbpbillconsultation.pdf)  <https://www.iomfsa.im/media/2076/dnfbpbillconsultation.pdf> |  |  | | [Designated Businesses (Registration and Oversight) Bill 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/designatedbusinessesregistrationand.pdf)  <https://www.iomfsa.im/media/2077/designatedbusinessesregistrationand.pdf> |  |  | | [Proceeds of Crime Act 2008](http://www.iomfsa.im/lib/docs/iomfsa/proceedsofcrimeact2008.pdf)  <https://www.iomfsa.im/media/2079/proceedsofcrimeact2008.pdf> |  |  | | [Consultation Reponses - Designated Businesses (Registration and Oversight) Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/summaryofconsultationdesignatedbusi.pdf)  <https://www.iomfsa.im/media/2078/summaryofconsultationdesignatedbusi.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Retirement Benefits Schemes (Miscellaneous Amendments) Regulations 2014 |
| **Title** | Retirement Benefits Schemes (Miscellaneous Amendments) Regulations 2014 |
| **Description** | Following consultation over the period from 22 May 2013 to 4 July 2013, the 2014 Regulations were made by the Treasury on 11 June 2014 and it is the intention that they shall be submitted to the July sitting of Tynwald and if approved will come into effect on 1 August 2014.  The 2014 Regulations prescribe a number of amendments to the Regulations listed below. Copies of these, as amended by the 2014 Amendment Regulations are available below:  • RBS (Management and Miscellaneous Provisions) Regulations 2001;  • RBS (Excepted Schemes) Regulations 2001;  • RBS (International Schemes) Regulations 2001; • RBS (Domestic Schemes) (General Administration) Regulations 2004  • RBS (Recognised Schemes) (United Kingdom) Order 2004;  • RBS (Permitted Schemes) Regulations 2004;  • RBS (General Register) Regulations 2004.  Copies of the consultation document, the response and the 2014 Amendment Regulations can be found below. This consultation is now closed. |
| **Consultation Period** | 22 May 2013 - 4 July 2013 |
| **Contact for Queries and Responses** | Mr Andrew Pladgeman Regulatory Manager - Pensions Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [andrew.pladgeman@ipa.gov.im](mailto:andrew.pladgeman@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | [Consultation Response - Amendement Regs](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultresponseamendmentregulat.pdf)  <https://www.iomfsa.im/media/2080/consultresponseamendmentregulat.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Miscellaneous Amendments) Regulations 2014](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd20140049140801.pdf)  <https://www.iomfsa.im/media/2081/sd20140049140801.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Domestic Schemes) (General Administration) Regulations 2004](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd58904140801amended.pdf)  <https://www.iomfsa.im/media/2082/sd58904140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Excepted Schemes) Regulations 2001](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd64601140801amended.pdf)  <https://www.iomfsa.im/media/2083/sd64601140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (General Register) Regulations 2004](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd59504140801amended.pdf)  <https://www.iomfsa.im/media/2084/sd59504140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (International Schemes) Regulations 2001](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd64501140801amended.pdf)  <https://www.iomfsa.im/media/2235/sd64501140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Management and Miscellaneous Provisions) Regulations 2001](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd64701140801amended.pdf)  <https://www.iomfsa.im/media/2085/sd64701140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Permitted Schemes) Regulations 2004](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd59304140801amended.pdf)  <https://www.iomfsa.im/media/2086/sd59304140801amended.pdf> |  |  | |  |  |  | [The Retirement Benefits Schemes (Recognised Schemes) (United Kingdom) Order 2004](http://www.iomfsa.im/lib/docs/iomfsa/pension/sd59104140801amended.pdf)  <https://www.iomfsa.im/media/2087/sd59104140801amended.pdf> |  |  | |  |  |  | [Retirement Benefits Schemes (Miscellaneous Amendments) Regulations 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/210513consultdocregs2013f.pdf)  <https://www.iomfsa.im/media/2088/210513consultdocregs2013f.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Draft combined Code to replace the Proceeds of Crime (Money Laundering) Code 2010 and the Prevention of Terrorist Financing Code 2011 |
| **Title** | Money Laundering and Terrorist Financing Code 2013 |
| **Description** | The Department of Home Affairs (DHA) has put out for consultation a draft combined Code to replace the Proceeds of Crime (Money Laundering) Code 2010 and the Prevention of Terrorist Financing Code 2011. The new Code is entitled the Money Laundering and Terrorist Financing Code 2013. The DHA has also put out to aid the consultation process a comparison document explaining why changes have been made. The new proposed new Code will also require Schedule 4 of the Proceeds of Crime Act 2008 to be amended and a draft Order to bring that about is also open for consultation. A new combined Code for the on-line gaming industry is also being consulted upon. It is entitled the Money Laundering and Terrorist Financing (Online Gambling) Code 2013. |
| **Consultation Period** | 3 December 2012 - 31 January 2013 |
| **Contact for Queries and Responses** | Mr Julian Lalor-Smith Director of Administration and Legislation Department of Home Affairs Homefield 88 Woodbourne Road Douglas IM2 3AP Email: [Julian.lalor-smith@gov.im](mailto:Julian.lalor-smith@gov.im) Tel:694311 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [Money Laundering and Terrorist Financing Code 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/moneylaunderingandterroristfinanc.pdf)  <https://www.iomfsa.im/media/2089/moneylaunderingandterroristfinanc.pdf> |  |  | | [Money Laundering and Terrorist Financing (Online Gambling) Code 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/moneylaunderingandterroristfinanc1.pdf) |  |  | | <https://www.iomfsa.im/media/2090/moneylaunderingandterroristfinanc1.pdf>  [Comparison of the provisions of the Codes](http://www.iomfsa.im/lib/docs/iomfsa/consultations/comparisonoftheprovisionsofthe.pdf)  <https://www.iomfsa.im/media/2091/comparisonoftheprovisionsofthe.pdf> |  |  | | [Proceeds of Crime - Business in the Regulated Sector - Order 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/proceedsofcrime_businessintheregul.pdf)  <https://www.iomfsa.im/media/2092/proceedsofcrime_businessintheregul.pdf> |  |  | | [Summary of responses to the draft combined AML-CFT Codes 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/summaryofresponsesdraftcombined.pdf)  <https://www.iomfsa.im/media/2093/summaryofresponsesdraftcombined.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | 2013 Regulatory Fees of the Insurance and Pensions Authority |
| **Title** | 2013 Regulatory Fees of the Insurance and Pensions Authority |
| **Description** | Following consultation over the period from 7 December 2012 to 21 January 2013, the Insurance and Pensions Authority has made the Insurance (Fees) Regulations 2013 and the Registered Schemes Administrators (Fees) Order 2013 on 15 February 2013. The regulations prescribe, with effect from April 2013 onwards, the annual fees charged to entities regulated by the Insurance and Pensions Authority under the Insurance Act 2008 and the Retirement Benefits Schemes Act 2000. Copies of the consultation document, together with the response document, can be found below, together with the Regulations which come into effect on 1 April 2013.  This consultation is now closed. |
| **Consultation Period** | 7 December 2012 - 21 January 2013 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  | | --- | | [2013 Fee Consultation Response document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/2013feeconsultresponse.pdf)  <https://www.iomfsa.im/media/2094/2013feeconsultresponse.pdf> | | [Insurance (Fees) Regulations 2013](http://www.iomfsa.im/lib/docs/iomfsa/insurance/insurancefeesregulations2013.pdf)  <https://www.iomfsa.im/media/2095/insurancefeesregulations2013.pdf> | |  | | [Registered Schemes Administrators (Fees) Order 2013](http://www.iomfsa.im/lib/docs/iomfsa/pension/registeredschemesadministratorsfees.pdf) | | <https://www.iomfsa.im/media/2096/consultationonfees.pdf> | | [2013 Fee Consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationonfees.pdf)  <https://www.iomfsa.im/media/2097/consultationonregulatoryfeesfor.pdf> | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Regulatory Fees for 2013/14 |
| **Title** | Consultation on Regulatory Fees for 2013/14 |
| **Description** | The Commission has issued a consultation document detailing its proposals for application and annual fees for licenceholders and fees for collective investment schemes which will come into effect in April 2013. |
| **Consultation Period** | 18 December 2012 - 15 January 2013 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  | [Consultation on Regulatory Fees for 2013/14](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationonregulatoryfeesfor.pdf)  <https://www.iomfsa.im/media/2099/consultationresponseregulatoryfee.doc> |  |  | |  |  |  |  |  |  |  |  |  | [Consultation Response added on 12 April 2013](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationresponseregulatoryfee.doc) |  |  | |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2235/sd64501140801amended.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance (Adviser Charging) Regulations 2012 |
| **Title** | Insurance (Adviser Charging) Regulations 2012 |
| **Description** | The Authority has issued a consultation document together with a copy of draft regulations in respect of the facilitation of adviser charging by an Isle of Man insurer to an introducer regulated by the FSA. |
| **Consultation Period** | 6 November 2012 - 6 December 2012 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | [Insurance (Adviser Charging) Regulations 2012 - Consultation Paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/insuranceadviserchargingregulat.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2100/insuranceadviserchargingregulat.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | [Draft Insurance (Adviser Charging) Regulations 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/insuranceadviserchargingregulat1.pdf)  <https://www.iomfsa.im/media/2101/insuranceadviserchargingregulat1.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Amendments to Secondary Legislation in Respect of General Insurance Intermediaries |
| **Title** | Insurance Intermediaries (General Business) (Amendment) Regulations 2012 |
| **Description** | Following consultation, the Authority has made the Insurance Intermediaries (General Business) (Amendment) Regulations 2012. The regulations introduce an exemption for certain licenceholders of the Financial Supervision Commission to be exempt from the requirement to register as an insurance intermediary with the Authority. They also introduce new submission timeframes for audited accounts and update the requirements for professional indemnity insurance. The draft regulations have been altered to take account of comments received in consultation, whereby persons who would be exempt will, notwithstanding the exemption, remain subject to the Authority’s Common Trading Practices and requirements in respect of professional indemnity insurance. Certain revision to style have also been made. Copies of the consultation document, together with the response document, can be found below, together with the Regulations which come into effect on 1 March 2013. This consultation is now closed. |
| **Consultation Period** | 30 August 2012 - 11 October 2012 |
| **Useful Links** | <http://www.gov.im/ipa/insurance/regulations/insurancegeneralintermediaries.xml> |
| **Contact for Queries and Responses** | Mr Alan Rowe Senior Manager - Policy Insurance & Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [alan.rowe@ipa.gov.im](mailto:alan.rowe@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Intermediary Amendment Regulations 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/20120829intermediaryamendmentregu.pdf)  <https://www.iomfsa.im/media/2102/20120829intermediaryamendmentregu.pdf> |  |  | | [Consultation Response Document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/intermediariesconsultationresponse.pdf)  <https://www.iomfsa.im/media/2103/intermediariesconsultationresponse.pdf> |  |  | | [Insurance Intermediaries (General Business) (Amendment) Regulations 2012](http://www.iomfsa.im/lib/docs/iomfsa/insurance/sd073512insuranceintermediariesg.pdf) |  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2104/sd073512insuranceintermediariesg.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Financial Services (Miscellaneous Amendments) Bill 2012 |
| **Title** | Consultation on addition to Financial Services (Miscellaneous Amendments) Bill |
| **Description** | The Commission has published a consultation seeking your views on an addition to the Financial Services (Miscellaneous Amendments) Bill. The proposed new clause will amend the definition of “controller” in the Financial Services Act 2008. Comments on the proposal are welcomed from all interested parties – in particular those who may be affected by proposals.  The consultation is open until 21 September 2012. |
| **Consultation Period** | 10 August 2012 - 21 September 2012 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Consultation on variation to definition of “controller” in the Financial Services Act 2008 via the Financial Services (Miscellaneous Amendments) Bill 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/fsmabillconsultationdocholdingcosa.doc)  <https://www.iomfsa.im/media/2105/fsmabillconsultationdocholdingcosa.doc> |  |  | | [Summary of consultation responses](http://www.iomfsa.im/lib/docs/iomfsa/consultations/fsmabillcontrollerdefinitionconsult.pdf)  <https://www.iomfsa.im/media/2106/fsmabillcontrollerdefinitionconsult.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Amending the Financial Services Act and Collective Investment Schemes Act |
| **Title** | Financial Services (Miscellaneous Amendments) Bill |
| **Description** | Consultation on a Bill to amend the Financial Services Act 2008 and Collective Investment Schemes Act 2008 |
| **Consultation Period** | 27 January 2012 - 23 March 2012 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | [Financial Services (Miscellaneous Amendments) Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/fsmabillconsultationdocas_publishe.doc)  <https://www.iomfsa.im/media/2107/fsmabillconsultationdocas_publishe.doc> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Draft Financial Services (Miscellaneous Amendments) Bill 2012 Slides](http://www.iomfsa.im/lib/docs/iomfsa/consultations/fsmabillslides.pdf)  <https://www.iomfsa.im/media/2108/fsmabillslides.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultation comments and revised FS(MA) Bill](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/consultationcommentsandrevisedfs.doc)  <https://www.iomfsa.im/media/2109/consultationcommentsandrevisedfs.doc> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Financial Services Act 2008 including changes made by FSMA Bill](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/collectiveinvestmentschemesact20.pdf)  <https://www.iomfsa.im/media/2111/financialservicesact2008includin.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Collective Investment Schemes Act 2008 including changes made by FSMA Bill](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/financialservicesact2008includin.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2110/collectiveinvestmentschemesact20.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Recognised Schemes Orders |
| **Title** | Consultation on revisions to Recognised Schemes Orders |
| **Description** | This document is particularly relevant to Class 2 and Class 3 licenceholders that are concerned with collective investment schemes authorised in Guernsey, Jersey and the UK |
| **Consultation Period** | 2 March 2012 - 23 March 2012 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  | [Consultation on revisions to Recognised Schemes Orders](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationrevisedrecognisedschemes.pdf)  <https://www.iomfsa.im/media/2112/consultationrevisedrecognisedschemes.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Summary of responses to consultation on revised Recognised Schemes Orders](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationresponsessummary.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2113/consultationresponsessummary.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | 2012 Regulatory Fees of the Insurance and Pensions Authority |
| **Title** | 2012 Regulatory Fees of the Insurance and Pensions Authority |
| **Description** | The IPA released for consultation copies of the draft Insurance Fees Regulations 2012 and the Registered Schemes Administrators (Fees) Order 2012.  The purpose of the draft proposals was to prescribe the annual fees charged to entities regulated by the IPA in respect of 2012 and thereafter. The document issued for consultation can be accessed via the link at the bottom of this page.  Two responses were received to the consultation in respect of the insurance fee proposals. Neither had any comment in relation to the proposed fees. The first noted that the timing of the consultation could be brought forward and the second commented on the use of the RPI as an inflationary tool. These comments will be taken into consideration in reviews.  No responses were received to the consultation in respect of the fee proposals for registered schemes administrators. |
| **Consultation Period** | 19 December 2011 - 31 January 2012 |
| **Useful Links** | <http://www.gov.im/ipa/insurance/regulations/principallegislation.xml>  <http://www.gov.im/ipa/pension/regulations/pensionsgeneralregulations.xml> |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultative Document Regulatory Fees of the IPA 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cons1112consultdocfeesregula.pdf) | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2114/cons1112consultdocfeesregula.pdf> | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Insurance Fees Regulations 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cons1112insurancefeesregulation.pdf)  <https://www.iomfsa.im/media/2115/cons1112insurancefeesregulation.pdf> | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Registered Schemes Administrators (Fees) Order 2012](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cons1112pensionfeesorder2012.pdf)  <https://www.iomfsa.im/media/2116/cons1112pensionfeesorder2012.pdf> | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance (Solvency) (Amendment) Regulations 2012 |
| **Title** | Insurance (Solvency) (Amendment) (No2) Regulations 2011 |
| **Description** | The Authority released a consultation document entitled draft Insurance (Solvency) (Amendment) (No2) Regulations 2011 in relation to providing a simpler and more transparent framework for permitting captive insurers to make loans to their associates that are fully admissible for the purpose of calculating the captive’s minimum margin of solvency.  These regulations are now renamed the Insurance (Solvency) (Amendment) Regulations 2012.  The original document issued for consultation which incorporated the draft regulations, together with the consultation response document can be accessed via the link at the bottom of this page.  The Insurance (Solvency) (Amendment) Regulations 2012 which are effective from 30 June 2012 can be accessed from the Authority's main website via the useful links section below.  This consultation is now closed. |
| **Consultation Period** | 15 September 2011 - 27 October 2011 |
| **Useful Links** | <http://www.gov.im/ipa/insurance/regulations/principallegislation.xml> |
| **Contact for Queries and Responses** | Mr Alan Rowe Senior Manager - Policy Insurance & Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [alan.rowe@ipa.gov.im](mailto:alan.rowe@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Draft Regulations issued for consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultinssolvencyamend2regs.pdf) |  |  | | <https://www.iomfsa.im/media/2117/consultinssolvencyamend2regs.pdf>  [Consultation Response Document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/sd29412consresp.pdf) |  |  | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2118/sd29412consresp.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Retirement Benefits Schemes (Miscellaneous Amendment) Regulations 2011 |
| **Title** | Retirement Benefits Schemes (Miscellaneous Amendment) Regulations 2011 |
| **Description** | The Insurance and Pensions Authority has released for consultation a copy of the draft Retirement Benefits Schemes (Miscellaneous Amendment) Regulations 2011, a copy of which are set out as an Appendix to the consultation document.  These draft regulations provide for a number of amendments to:  • the Retirement Benefits Schemes (Management and Miscellaneous Provisions) Regulations 2001 • the Retirement Benefits Schemes (Excepted Schemes) Regulations 2001 • the Retirement Benefits Schemes (International Schemes) Regulations 2001  • the Retirement Benefits Schemes (Domestic Schemes) (General Administration) Regulations 2004  • the Retirement Benefits Schemes (Recognised Schemes) (United Kingdom) Order 2004 • the Retirement Benefits Schemes (Permitted Schemes) Regulations 2004.  The consultation document can be accessed via the link at the bottom of this page.  This consultation is now closed. |
| **Consultation Period** | 19 August 2011 - 30 September 2011 |
| **Contact for Queries and Responses** | Mrs Francesca Signorio-Hooper Head of Supervision Insurance and Pensions Authority Ground Floor, Finch Hill House Bucks Road Douglas IM1 3DF Email: [francesca.hooper@ipa.gov.im](mailto:francesca.hooper@ipa.gov.im) Tel:01624 646000 Fax:01624 646001 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  | | --- | --- | --- | --- | | [Retirement Benefits Schemes (Miscellaneous Amendment) Regulations 2011](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultdocrbsregs2011.pdf) |  |  |  | | <https://www.iomfsa.im/media/2119/consultdocrbsregs2011.pdf> |  |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Review of Financial Services Legislation |
| **Title** | Review of Financial Services Legislation |
| **Description** | As part of its commitment to keep its legislation under rolling review and up to date, the Financial Supervision Commission (“the Commission”) has today issued a consultation on proposed amendments to the Rule Book, the RAO and the ERegs. |
| **Consultation Period** | 1 July 2011 - 31 August 2011 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | | [FINAL tracked changes RAO post consultation 10.10.11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/raotrackedchangesfinaldraft10.pdf)  <https://www.iomfsa.im/media/2120/raotrackedchangesfinaldraft10.pdf> |  |  | | [FINAL - tracked changes ERegs post consultation 10.10.11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/eregstrackedchangesfinaldraft.pdf)  <https://www.iomfsa.im/media/2121/eregstrackedchangesfinaldraft.pdf> |  |  | | [FINAL tracked changes Rule Book post consultation 10.10.11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/rulebooktrackedchangesfinaldr.pdf)  <https://www.iomfsa.im/media/2122/rulebooktrackedchangesfinaldr.pdf> |  |  | | [FINAL tracked changes Financial Services Fees Order post consultation 10.10.11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservices_fees_order20111.pdf) |  |  | | <https://www.iomfsa.im/media/2123/financialservices_fees_order20111.pdf>  [FINAL tracked changes CIS Fees Order 2011 post consultation 10.10.11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cis_fees_order2011amendmentfina1.pdf)  <https://www.iomfsa.im/media/2124/cis_fees_order2011amendmentfina1.pdf> |  |  | | [Review of Fin services Legislation - Consultation Comments - 10-10-11](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationcommentswebsite101.pdf)  <https://www.iomfsa.im/media/2125/consultationcommentswebsite101.pdf> |  |  | | [CIS \_Fees Order 2011 Amendment Final Consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/cis_fees_order2011amendmentfina.pdf)  <https://www.iomfsa.im/media/2236/cis_fees_order2011amendmentfina.pdf> |  |  | | [Review of Fin Services Consultation Paper 28-06-11 Final](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationpaper280611final.pdf)  <https://www.iomfsa.im/media/2237/consultationcommentswebsite101.pdf> |  |  | | [Review of Fin services Legislation ERegs - tracked changes Final](http://www.iomfsa.im/lib/docs/iomfsa/consultations/eregstrackedchangesfinal.pdf)  <https://www.iomfsa.im/media/2126/eregstrackedchangesfinal.pdf> |  |  | | [Review of Fin services Legislation Financial Services Fees Order 2011 Final](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservices_fees_order2011.pdf)  <https://www.iomfsa.im/media/2127/financialservices_fees_order2011.pdf> |  |  | | [Review of Fin services Legislation RAO - tracked changes final](http://www.iomfsa.im/lib/docs/iomfsa/consultations/raotrackedchangesfinal.pdf)  <https://www.iomfsa.im/media/2128/raotrackedchangesfinal.pdf> |  |  | | [Review of Fin services Legislation Rule Book - tracked changes Final](http://www.iomfsa.im/lib/docs/iomfsa/consultations/rulebooktrackedchangesfinal.pdf)  <https://www.iomfsa.im/media/2129/rulebooktrackedchangesfinal.pdf> |  |  | |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on Solvency Amendment Regulations for debts 2011 |
| **Title** | Secondary Legislation in Respect of Debt Admissibility for Solvency |
| **Description** | The Authority has released a consultation document in relation to the admissibility of debts for the purposes of calculating the minimum solvency margin of insurers.  The original document issued for consultation which incorporated the draft regulations, together with the consultation response document can be accessed via the link at the bottom of this page.  The Insurance (Solvency) (Amendment) Regulations 2011 which are effective from 31 December 2011 can be accessed from the Authority's main website via the link below.  This consultation is now closed. |
| **Consultation Period** | 18 April 2011 - 3 June 2011 |
| **Useful Links** | <http://www.gov.im/ipa/insurance/regulations/principallegislation.xml> |
| **Contact for Queries and Responses** | Insurance and  Pensions  Authority Ground Floor Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [ipa@gov.im](mailto:ipa@gov.im) Tel:+ 44 (01624) 646000 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | | [Insurance (Solvency) (Amendment) Regulations 2011 Consultation Response](http://www.iomfsa.im/lib/docs/iomfsa/consultations/201104consultresponse.pdf) |  |  | | <https://www.iomfsa.im/media/2130/201104consultresponse.pdf>  [Consultation on solvency amendment regulations for debts 2011](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationonsolvencyamandmentr.pdf) |  |  | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2131/consultationonsolvencyamandmentr.pdf> |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Proposals to amend the Financial Services Act and Collective Investment Schemes Act |
| **Title** | Proposals to amend the Financial Services Act and Collective Investment Schemes Act |
| **Description** | Request for your views on proposals to amend the Financial Services Act 2008 and Collective Investment Schemes Act 2008 |
| **Consultation Period** | 4 March 2011 - 15 April 2011 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | [Summary of responses to request for views on proposals to amend the Financial Services Act 2008 and Collective Investment Schemes Act 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/summaryofresponsestorequestfor.pdf)  <https://www.iomfsa.im/media/2132/summaryofresponsestorequestfor.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Request for your views on proposals to amend the Financial Services Act 2008 and Collective Investment Schemes Act 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicesact2008andcis.pdf)  <https://www.iomfsa.im/media/2133/financialservicesact2008andcis.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Secondary Legislation in Respect of Incorporated Cell Companies |
| **Title** | Secondary Legislation in Respect of Incorporated Cell Companies |
| **Description** | The Authority has released a consultation document in relation to three sets of draft regulations in connection with Incorporated Cell Companies.  The draft regulations, which are included as appendices to the consultation document, are as follows:  \* Incorporated Cells Regulations 2010 \* Incorporated Cell Companies (Forms) Regulations 2010 \* Insurance (Incorporated Cell Companies) Regulations 2010  The consultation document can be accessed via the link at the bottom of this page.  This consultation is now closed.  With effect from 23rd June 2011, the Incorporated Cells Regulations 2011 and the Insurance (Incorporated Cell Companies) Regulations 2011 came into effect.  Further information can be found on the Authority's website via the link below. |
| **Consultation Period** | 12 October 2010 - 23 November 2010 |
| **Useful Links** | <http://www.gov.im/ipa/insurance/icc.xml> |
| **Contact for Queries and Responses** | Insurance and  Pensions  Authority Ground Floor Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [ipa@gov.im](mailto:ipa@gov.im) Tel:+ 44 (01624) 646000 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | [Consultation Document - Secondary Legislation in Respect of Incorporated Cell Companies](http://www.iomfsa.im/lib/docs/iomfsa/insurance/iccconsultation.pdf)  <https://www.iomfsa.im/media/2134/iccconsultation.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Revisions to civil penalties for late returns |
| **Title** | Revisions to civil penalties for late returns |
| **Description** | Revisions to civil penalties for late returns |
| **Consultation Period** | 30 July 2010 - 10 September 2010 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | [Consultation doc for revised late returns penalties](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationdocforrevisedlatere.pdf) <https://www.iomfsa.im/media/2135/consultationdocforrevisedlatere.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Review of Authorised Collective Investment Schemes Regulations - “FINAL DRAFT” |
| **Title** | Review of Authorised Collective Investment Schemes Regulations - “FINAL DRAFT” |
| **Description** | Tracked changes version incorporating final FSA changes – no further comments required |
| **Consultation Period** | 30 July 2010 - 31 August 2010 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | [AUTHORISED COLLECTIVE INVESTMENT SCHEMES REGULATIONS 2010](http://www.iomfsa.im/lib/docs/iomfsa/consultations/authcisregs2010.pdf)  <https://www.iomfsa.im/media/2136/authcisregs2010.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance (Limited Partnerships) (Solvency) (Amendment) Regulations 2010 |
| **Title** | Insurance (Limited Partnerships) (Solvency) (Amendment) Regulations 2010 |
| **Description** | Following a period of consultation that ended on 27 August 2010, the Insurance (Limited Partnerships) (Solvency) (Amendment) Regulations 2010 were made on 8 September 2010, and came into operation on 30 September 2010.  A copy of the Regulations can be accessed via the link at the bottom of this page. |
| **Consultation Period** | 26 July 2010 - 27 August 2010 |
| **Contact for Queries and Responses** | Insurance and  Pensions  Authority Ground Floor Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [ipa@gov.im](mailto:ipa@gov.im) Tel:+ 44 (01624) 646000 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | |  |  |  | | --- | --- | --- | | [Consultative document - Insurance (Limited Partnerships) (Solvency) (Amendment) Regulations 2010](http://www.iomfsa.im/lib/docs/iomfsa/insurance/consultdocinsurancelpsolven.pdf) <https://www.iomfsa.im/media/2137/consultdocinsurancelpsolven.pdf> |  |  | | [Insurance (Limited Partnerships) (Solvency) (Amendment) Regulations 2010](http://www.iomfsa.im/lib/docs/iomfsa/insurance/insurancelimitedpartnershipssol.pdf) <https://www.iomfsa.im/media/2138/insurancelimitedpartnershipssol.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Insurance (Capital Redemption Contracts) (Amendment) Regulations 2010 |
| **Title** | Insurance (Capital Redemption Contracts) (Amendment) Regulations 2010 |
| **Description** | Following a period of consultation that ended on 26 August 2010, the Insurance (Capital Redemption Contracts) (Amendment) Regulations 2010 were made on 8 September 2010, to come into operation on 9 September 2010. A consultation response document, which includes a copy of the Regulations, can be accessed from the bottom of this page. |
| **Consultation Period** | 28 July 2010 - 26 August 2010 |
| **Contact for Queries and Responses** | Insurance and  Pensions  Authority Ground Floor Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [ipa@gov.im](mailto:ipa@gov.im) Tel:+ 44 (01624) 646000 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | [Consultation Response - Insurance (Capital Redemption Contracts) (Amendment) Regulations 2010](http://www.iomfsa.im/lib/docs/iomfsa/insurance/consultresponsecrbregs2010.pdf) <https://www.iomfsa.im/media/2139/consultresponsecrbregs2010.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Review of Authorised Collective Investment Schemes Regulations |
| **Title** | Draft Authorised Collective Investment Schemes Regulations 2010 |
| **Description** | The Financial Supervision Commission has today issued a consultation on new draft Authorised Collective Investment Schemes Regulations which update the current requirements in order to maintain equivalence with the UK Financial Services Authority’s requirements and retain the Island’s Designated Territory status. |
| **Consultation Period** | 1 March 2010 - 7 May 2010 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  | [DRAFT AUTHORISED COLLECTIVE INVESTMENT SCHEMES](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftauthorisedcollectiveinvestme.pdf)  <https://www.iomfsa.im/media/2140/draftauthorisedcollectiveinvestme.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultative Paper - Draft Authorised Collective Investment Schemes Regulations 2010](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultativepaoercisregs2010.pdf)  <https://www.iomfsa.im/media/2141/consultativepaoercisregs2010.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | The Corporate Governance Code of Practice for Insurers |
| **Title** | Corporate Governance Code of Practice for Regulated Insurance Entities |
| **Description** | The Insurance and Pensions Authority recognises that Isle of Man insurers and insurance managers already adopt governance principles in the management of their business affairs. Many companies have a well defined governance framework with documented policies, defined roles and responsibilities, strong corporate culture, and effective risk management and internal control systems.  However, there is variation within the market in the extent to which such principles are applied, and documented. For this and other reasons indicated below, the Authority proposes to introduce the Corporate Governance Code of Practice for Insurers (the “CGC”). |
| **Consultation Period** | 30 November 2009 - 28 February 2010 |
| **Contact for Queries and Responses** | Insurance and  Pensions  Authority Ground Floor Finch Hill House Bucks Road Douglas Isle of Man IM1 3DF Email: [ipa@gov.im](mailto:ipa@gov.im) Tel:+ 44 (01624) 646000 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  | [Corporate Governance Code - Consultative Document November 2009](http://www.iomfsa.im/lib/docs/iomfsa/insurance/cgcconsultation.pdf)  <https://www.iomfsa.im/media/2146/cgccompareto2ndconsultation.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Corporate Governance Code - Consultative Draft July 2010](http://www.iomfsa.im/lib/docs/iomfsa/insurance/cgcconsultativedraftversion29ju.pdf)  <https://www.iomfsa.im/media/2145/cgcgeneralresponsec2.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Corporate Governance Code - Consultation Response and Second Consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultationresponsecgc.pdf)  <https://www.iomfsa.im/media/2144/consultationresponsecgc.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Corporate Governance Code - Second Consultation Response](http://www.iomfsa.im/lib/docs/iomfsa/insurance/cgcgeneralresponsec2.pdf)  <https://www.iomfsa.im/media/2143/cgcconsultativedraftversion29ju.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Corporate Governance Code - Final Version with Marked Changes Since Second Consultation](http://www.iomfsa.im/lib/docs/iomfsa/insurance/cgccompareto2ndconsultation.pdf)  <https://www.iomfsa.im/media/2146/cgccompareto2ndconsultation.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Treasury Share Regulations |
| **Title** | Proposed Treasury Share Regulations |
| **Description** | Plans to allow companies whose shares are traded on a market to hold up to 10% of shares in treasury are being progressed by the Financial Supervision Commission (“the Commission”). |
| **Consultation Period** | 5 February 2010 - 19 February 2010 |
| **Contact for Queries and Responses** | Gillian Prestwich Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [gillian.prestwich@gov.im](mailto:gillian.prestwich@gov.im) Tel:01624 689331 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Draft Treasury Shares Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draft1931acttreasurydrafttreasu.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2147/draft1931acttreasurydrafttreasu.pdf> |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultation on Treasury Shares](http://www.iomfsa.im/lib/docs/iomfsa/consultations/treasurysharesconsultationdocumen.pdf)  <https://www.iomfsa.im/media/2148/treasurysharesconsultationdocumen.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on the Review of Collective Investment Schemes Legislation |
| **Title** | Consultation on the Review of Collective Investment Schemes Legislation |
| **Description** | Consultation on the Review of Collective Investment Schemes Legislation |
| **Consultation Period** | 22 October 2009 - 18 December 2009 |
| **Useful Links** | <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesfull.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesspeci.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesspeci.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesexper.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesregis.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemespromo.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesrecog.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesrecog1.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesprosp.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/collectiveinvestmentschemesretur.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/limitedpartnershipscollectiveinv.pdf>  <http://www.gov.im/lib/docs/fsc/consultations/limitedpartnershipscollectiveinv1.pdf> |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Consultation on the Review of Collective Investment Schemes Legislation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationonthereviewofinvest.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2149/consultationonthereviewofinvest.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Limited Partnerships - Optional Separate Legal Personality |
| **Title** | Limited Partnerships - Optional Separate Legal Personality |
| **Description** | The Financial Supervision Commission (“FSC”) is seeking views on whether to create a provision that would permit a limited partnership, formed under the Partnership Act 1909, to exercise an election to adopt legal personality that is separate and distinct from that of its partners. |
| **Consultation Period** | 23 October 2009 - 4 December 2009 |
| **Contact for Queries and Responses** | Gillian Prestwich Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [gillian.prestwich@gov.im](mailto:gillian.prestwich@gov.im) Tel:01624 689331 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | [Limited Partnerships - Optional Separate Legal Personality](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultation23102009.pdf) |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | <https://www.iomfsa.im/media/2150/consultation23102009.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Proposed Oversight of Recognised Auditors under Statutory Audit Directive (2006/43/EC) |
| **Title** | Proposed Oversight of Recognised Auditors under Statutory Audit Directive (2006/43/EC) |
| **Description** | At a recent meeting between the Crown Dependencies (“CDs”), Institute of Chartered Accountants in England and Wales (“ICAEW”) and the Professional Oversight Board (“POB”), agreement was reached on the proposed timing of introduction and level of registration fees for the recognised auditor oversight regime. This regime was the subject of consultation in March 2009. These documents are for information purposes only. |
| **Consultation Period** | 21 October 2009 - 22 October 2009 |
| **Contact for Queries and Responses** | Gillian Prestwich Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [gillian.prestwich@gov.im](mailto:gillian.prestwich@gov.im) Tel:01624 689331 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Roadmap showing material changes between consultation and current versions of Regulations](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/roadmap21102009.pdf)  <https://www.iomfsa.im/media/2151/roadmap21102009.pdf> |  |  | | [Draft Accounting (Recognised Auditors) Regulations 2009](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/draftaccounting_recognisedauditor.pdf)  <https://www.iomfsa.im/media/2152/draftaccounting_recognisedauditor.pdf> |  |  | | [Draft Register of Recognised Auditors Regulations 2009](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/draftregisterofrecognisedauditor.pdf)  <https://www.iomfsa.im/media/2153/draftregisterofrecognisedauditor.pdf> |  |  | | [Draft Public Oversight of Recognised Auditors Regulations 2009](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/draftpublicoversightofrecognised.pdf)  <https://www.iomfsa.im/media/2154/draftpublicoversightofrecognised.pdf> |  |  | | [Crown Dependencies’ Audit Rules and Guidance](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/crowndependenciesauditrulesand.pdf)  <https://www.iomfsa.im/media/2155/crowndependenciesauditrulesand.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Consultation on the Review of Financial Services Legislation |
| **Title** | Consultation on the Review of Financial Services Legislation |
| **Description** | The Financial Supervision Commission has today issued a consultation on proposed amendments to the Financial Services Rule Book 2008, Regulated Activities Order 2008 and Financial Services (Exemptions) Regulations 2008. |
| **Consultation Period** | 29 June 2009 - 10 August 2009 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Consultation on the Review of Financial Services Legislation](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/consultationonthereviewoffinanc.pdf)  <https://www.iomfsa.im/media/2160/consultationonthereviewoffinanc.pdf> |  |  | | [Appendix A - Regulated Activities Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/appendixaregulatedactivitiesor.pdf)  <https://www.iomfsa.im/media/2161/appendixaregulatedactivitiesor.pdf> |  |  | | [Appendix B - Financial Services (Exemption) Regulations 2008](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/appendixbfinancialservices_exe.pdf) |  |  | | <https://www.iomfsa.im/media/2162/appendixbfinancialservices_exe.pdf> |  |  | | [Appendix C - Rule Book amendments 2009](http://www.iomfsa.im/lib/docs/iomfsa/Consultative/appendixcrulebookamendments20.pdf)  <https://www.iomfsa.im/media/2163/appendixcrulebookamendments20.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Gateways Order |
| **Title** | A Consultative Paper on the draft Financial Services (Gateways) Order 2008 |
| **Description** | The draft Order lists acts containing other functions of the OFT in respect of which restricted information may also be disclosed. This draft Order replicates existing gateways made under an existing Gateways Order which needs to be replicated under the new Financial Services Act 2008 |
| **Consultation Period** | 25 November 2008 - 12 December 2008 |
| **Contact for Queries and Responses** | Gillian Prestwich Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [gillian.prestwich@gov.im](mailto:gillian.prestwich@gov.im) Tel:01624 689331 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Gateways Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/09092008gatewaysorder2008.pdf)  <https://www.iomfsa.im/media/2164/09092008gatewaysorder2008.pdf> |  |  | | [Gateways Order 2008 - Consultations paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/09092008consultationpapergatewaysord.pdf) |  |  | | <https://www.iomfsa.im/media/2165/09092008consultationpapergatewaysord.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Financial Services (Appointment of a Manager) Order |
| **Title** | Financial Services (Appointment of a Manager) Order |
| **Description** | Consultation on the Financial Services (Appointment of a Manager) Order |
| **Consultation Period** | 1 September 2008 - 10 October 2008 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Financial Services (Appointment of a Manager) Order - consultation paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationpaper_appointmentofm.pdf)  <https://www.iomfsa.im/media/2166/consultationpaper_appointmentofm.pdf> |  |  | | [Financial Services (Appointment of a Manager) Order - appendix A](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicesappointmentofma.pdf) |  |  | |  |  | | <https://www.iomfsa.im/media/2167/financialservicesappointmentofma.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 10 |
| **Title** | CAROL 10 |
| **Description** | Industry Comments on the consultative paper on the Regulated Activities Order and Exemption Regulations and Publication of the entire Financial Services Rule Book including the Interpretation Schedule |
| **Consultation Period** | 28 April 2008 - 6 May 2008 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  | [CAROL 10 - Responses](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol8sanitizedsummaryofrespons.pdf)  <https://www.iomfsa.im/media/2168/carol8sanitizedsummaryofrespons.pdf> |  |  | |  |  |  |  | [CAROL 10 - Rule Book](http://www.iomfsa.im/lib/docs/iomfsa/consultations/rulebook250408.pdf)  <https://www.iomfsa.im/media/2169/rulebook250408.pdf> |  |  | |  |  |  |  | [CAROL 10 - Amendments to Rule Book](http://www.iomfsa.im/lib/docs/iomfsa/consultations/mainamendmentstothefinancialser.pdf) |  |  | |  |  |  |  | <https://www.iomfsa.im/media/2170/mainamendmentstothefinancialser.pdf> |  |  | |  |  |  |  | [CAROL 10 - Roadmap](http://www.iomfsa.im/lib/docs/iomfsa/consultations/roadmapforschedule1.pdf)  <https://www.iomfsa.im/media/2171/roadmapforschedule1.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 9 |
| **Title** | CAROL 9 |
| **Description** | Information and consultation on the secondary legislation under the Financial Services Bill |
| **Consultation Period** | 28 April 2008 - 6 May 2008 |
| **Contact for Queries and Responses** | Nicola  Barfoot Policy Officer PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [nicola.barfoot@gov.im](mailto:nicola.barfoot@gov.im) Tel:01624 689379 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [CAROL 9 - Financial Services (“Not Fit and Proper”) Regulations 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservices_notfitandprop.pdf)  <https://www.iomfsa.im/media/2172/financialservices_notfitandprop.pdf> |  |  | | [CAROL 9 - Financial Services (Civil Penalties) Regulations 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/civilpenaltiesregulations20082.pdf)  <https://www.iomfsa.im/media/2173/civilpenaltiesregulations20082.pdf> |  |  | | [CAROL 9 - Authorised Collective Investment Schemes (Compensation) Regulations 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/authorisedschemescompensationregs.pdf)  <https://www.iomfsa.im/media/2174/authorisedschemescompensationregs.pdf> |  |  | | [CAROL 9 - Financial Services Act 2008 (Appointed Day) Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/ado180408.pdf)  <https://www.iomfsa.im/media/2176/ado180408.pdf> |  |  | | [CAROL 9 - Financial Services (Fees) Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/feesorder180408.pdf)  <https://www.iomfsa.im/media/2175/feesorder180408.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Collective Investment Schemes (Definition) Order 2008 |
| **Title** | Collective Investment Schemes (Definition) Order 2008 |
| **Description** | Section 1 of the Collective Investment Schemes Bill 2008 (“the Bill”) sets out the characteristics of a Collective Investment Scheme and provides that the Financial Supervision Commission (“the Commission”) can make an Order which set out the characteristics of arrangements which do not constitute collective investment schemes for the purpose of the Bill (see Appendix B).  This consultation paper sets out a draft Collective Investment Schemes (Definition) Order 2008 (“the draft Order” attached at Appendix A) which is intended to ensure that the status quo is maintained. As such the wording used in the draft Order in most cases replicates the current regime (Section 30 of the Financial supervision Act 1988 is set out at Appendix C to this Order). The only changes made are to referencing to ensure the draft Order is consistent with the regime that will be enacted under the Financial Services Bill 2008. |
| **Consultation Period** | 25 March 2008 - 25 April 2008 |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Consultation on the Collective Investment Schemes (Definition) Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationonthecollectiveinves.pdf)  <https://www.iomfsa.im/media/2177/consultationonthecollectiveinves.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Options for change to the Depositors’ Compensation Scheme |
| **Title** | Options for change to the Depositors’ Compensation Scheme |
| **Description** | This document seeks the views of all banking licenceholders and other interested parties, and particularly those 31 banks which are DCS participants and are thus required to contribute levies in the event of the failure of a DCS participant. Views are sought on the options for a future DCS outlined in this paper. The paper also provides a summary of the depositor data collected. |
| **Consultation Period** | 6 March 2008 - 31 March 2008 |
| **Contact for Queries and Responses** | Mrs Susan Woolard Policy Adviser PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [susan.woolard@iomfsa.im](mailto:susan.woolard@iomfsa.im) Tel:01624 689333 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Options for change to the Depositors' Compensation Scheme](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationtobanks6308final.pdf)  <https://www.iomfsa.im/media/2178/consultationtobanks6308final.pdf> |  |  | | [Options for change to the Depositors' Compensation Scheme - Responses](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationresponsesdepositors.pdf)  <https://www.iomfsa.im/media/2179/consultationresponsesdepositors.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Anti-Money Laundering |
| **Title** | Anti-Money Laundering (“AML”) and Countering the Financing of Terrorism (“CFT”) Handbook and the Financial Services (Money Laundering and Financing of Terrorism) Rule Book [2008] |
| **Description** | Further consultation on the Anti-Money Laundering (“AML”) and Countering the Financing of Terrorism (“CFT”) Handbook and the Financial Services (Money Laundering and Financing of Terrorism) Rule Book [2008] |
| **Consultation Period** | 4 February 2008 - 29 February 2008 |
| **Contact for Queries and Responses** | Mr Paul Heckles Head of Enforcement PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [paul.heckles@gov.im](mailto:paul.heckles@gov.im) Tel:01624 689310 Fax:01624 689399 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Rule Book](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftamlcftrulebookconsultation.pdf) |  |  | | <https://www.iomfsa.im/media/2180/draftamlcftrulebookconsultation.pdf>  [Handbook](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftamlcfthandbookconsultation.pdf) |  |  | |  |  | | <https://www.iomfsa.im/media/2181/draftamlcfthandbookconsultation.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 8 |
| **Title** | CAROL 8 |
| **Description** | Further Consultation on the Regulated Activities Order and Exemption Regulations |
| **Consultation Period** | 17 January 2008 - 15 February 2008 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [CAROL 8 - document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftconsultpaper2.pdf)  <https://www.iomfsa.im/media/2182/draftconsultpaper2.pdf> |  |  | | [CAROL 8 - Regulated Activities Order 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/regulatedactivitiesorder2008.pdf)  <https://www.iomfsa.im/media/2183/regulatedactivitiesorder2008.pdf> |  |  | | [CAROL 8 - Financial Services (Exemptions) Regulations 2008](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservices_exemptions_regu.pdf) |  |  | | <https://www.iomfsa.im/media/2184/financialservices_exemptions_regu.pdf>  [CAROL 8 - Roadmap](http://www.iomfsa.im/lib/docs/iomfsa/consultations/roadmapforregulatedactivitiesord.pdf) |  |  | |  |  | | <https://www.iomfsa.im/media/2185/roadmapforregulatedactivitiesord.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 7 |
| **Title** | CAROL 7 |
| **Description** | Further Consultation on the Financial Services Rule Book |
| **Consultation Period** | 17 January 2008 - 15 February 2008 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [CAROL 7 - document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftconsultpaper.pdf)  <https://www.iomfsa.im/media/2192/draftconsultpaper.pdf> |  |  | | [CAROL 7 - Clients' Money](http://www.iomfsa.im/lib/docs/iomfsa/consultations/clientsmoney.pdf)  <https://www.iomfsa.im/media/2191/clientsmoney.pdf> |  |  | | [CAROL 7 - Clients' Investments](http://www.iomfsa.im/lib/docs/iomfsa/consultations/clientsinvestments.pdf)  <https://www.iomfsa.im/media/2190/clientsinvestments.pdf> |  |  | | [CAROL 7 - Annual Compliance Return](http://www.iomfsa.im/lib/docs/iomfsa/consultations/newannualcompliancereturn.pdf)  <https://www.iomfsa.im/media/2189/newannualcompliancereturn.pdf> |  |  | | [CAROL 7 - Roadmap for the Client Money](http://www.iomfsa.im/lib/docs/iomfsa/consultations/draftclientsmoneyroadmap.pdf)  <https://www.iomfsa.im/media/2188/draftclientsmoneyroadmap.pdf> |  |  | | [CAROL 7 - Roadmap for the Clients' Investments](http://www.iomfsa.im/lib/docs/iomfsa/consultations/clientsinvestmentsroadmap.pdf)  <https://www.iomfsa.im/media/2187/clientsinvestmentsroadmap.pdf> |  |  | | [CAROL 7 - Responses on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol7summaryofresponses.pdf)  <https://www.iomfsa.im/media/2186/carol7summaryofresponses.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Companies Amendment Bill |
| **Title** | Companies Amendment Bill |
| **Description** | Companies Amendment Bill Consultation Paper |
| **Consultation Period** | 4 January 2008 - 15 February 2008 |
| **Contact for Queries** | Gillian Prestwich Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [gillian.prestwich@gov.im](mailto:gillian.prestwich@gov.im) Tel:01624 689331 Fax:01624 689398 |
| **Contact for Responses** | Mrs Roxanne Oldham Head of Policy Division PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [roxanne.oldham@iomfsa.im](mailto:roxanne.oldham@iomfsa.im) Tel:01624 689334 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Post consultation version of draft Companies (Amendment) Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/companies_amendment_billcleanc.pdf)  <https://www.iomfsa.im/media/2193/companies_amendment_billcleanc.pdf> |  |  | | [Consultation version of draft Companies (Amendment) Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/companies_amendment_billconsult.pdf)  <https://www.iomfsa.im/media/2194/companies_amendment_billconsult.pdf> |  |  | | [Consultation Paper on Companies (Amendment) Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/companiesamendbillconsultpaperf.pdf)  <https://www.iomfsa.im/media/2195/companiesamendbillconsultpaperf.pdf> |  |  | | [Response on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/responsetoconsultation040508.pdf)  <https://www.iomfsa.im/media/2196/responsetoconsultation040508.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Money Service Businesses |
| **Title** | Regulation of Money Service Businesses including e-money providers |
| **Description** | Following earlier consultation in May 2007 the purpose of this paper is to set out proposals for bringing money service businesses and electronic money (“e-money”) providers (collectively referred to as MSBs in this paper) under some form of, initially limited, regulation. The primary purpose is to enable the Island to meet its international responsibilities as set out in the Recommendations of the Financial Action Task Force (“FATF”) on Money Laundering and Special Recommendations on Terrorist Financing. It is to also introduce an element of consumer protection for certain activities which are not presently licensed but are nonetheless closely related to the financial sector. |
| **Consultation Period** | 17 December 2007 - 8 February 2008 |
| **Contact for Queries and Responses** | Mr David Hodgson Manager, Authorisations PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [david.hodgson@iomfsa.im](mailto:david.hodgson@iomfsa.im) Tel:01624 698380 Fax:01624 698399 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  | | --- | --- | --- | --- | --- | |  |  |  | [Consultation on the regulation of Money Service Businesses including e-money providers](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationontheregulationofmo.pdf)  <https://www.iomfsa.im/media/2201/consultationontheregulationofmo.pdf> |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 6 |
| **Title** | CAROL 6 |
| **Description** | Further Consultation on the Financial Services Rule Book |
| **Consultation Period** | 4 December 2007 - 31 January 2008 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [CAROL 6 - Document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consultationpaper.pdf)  <https://www.iomfsa.im/media/2238/consultationpaper.pdf> |  |  | | [CAROL 6 - Financial Services Rule Book - Conduct of Business](http://www.iomfsa.im/lib/docs/iomfsa/consultations/conductofbusiness.pdf)  <https://www.iomfsa.im/media/2239/conductofbusiness.pdf> |  |  | | [CAROL 6 - Financial Services Rule Book - Risk Management & Internal Control](http://www.iomfsa.im/lib/docs/iomfsa/consultations/riskmanagementandinternalcontrol.pdf) |  |  | | <https://www.iomfsa.im/media/2240/riskmanagementandinternalcontrol.pdf> |  |  | | [CAROL 6 - Financial Services Rule Book - Administration](http://www.iomfsa.im/lib/docs/iomfsa/consultations/administration.pdf)  <https://www.iomfsa.im/media/2241/administration.pdf> |  |  | | [CAROL 6 - Roadmap](http://www.iomfsa.im/lib/docs/iomfsa/consultations/roadmap1.pdf)  <https://www.iomfsa.im/media/2242/roadmap1.pdf> |  |  | | [CAROL 6 - Response on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol6summaryofresponses.pdf) |  |  | |  |  | |  |  |  |  |  | <https://www.iomfsa.im/media/2243/carol6summaryofresponses.pdf> |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Company Officers Disqualification Bill 2007 |
| **Title** | Company Officers Disqualification Bill 2007 |
| **Description** | Consultation on the Company Officers Disqualification Bill 2007 |
| **Consultation Period** | 16 October 2007 - 11 December 2007 |
| **Contact for Queries** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Contact for Responses** | Mrs Roxanne Oldham Head of Policy Division PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [roxanne.oldham@iomfsa.im](mailto:roxanne.oldham@iomfsa.im) Tel:01624 689334 Fax:01624 689398 |
| **Documents** | [Discussion document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/aconsultativepaperonthecompany.pdf)  <https://www.iomfsa.im/media/2202/aconsultativepaperonthecompany.pdf>  [Company Officers Disqualification Bill 2007](http://www.iomfsa.im/lib/docs/iomfsa/consultations/companyofficersdisqualificationbi.pdf)  <https://www.iomfsa.im/media/2203/companyofficersdisqualificationbi.pdf>  [Responses on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/codbillsummaryofresponsestocon.pdf)  <https://www.iomfsa.im/media/2204/codbillsummaryofresponsestocon.pdf>  [Revised Company Officers Disqualification Bill](http://www.iomfsa.im/lib/docs/iomfsa/consultations/companyofficersdisqualificationbi2.pdf)  <https://www.iomfsa.im/media/2205/companyofficersdisqualificationbi2.pdf> |

|  |  |
| --- | --- |
| **Topic** | Experienced Investor Funds |
| **Title** | Financial Supervision (Experienced Investor Fund) (Exemption) (Amendment) Order 2007 |
| **Description** | For information only. |
| **Consultation Period** | 17 November 2007 - 18 November 2007 |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |   None |  |  | |  |  | |  | |  |  | |

|  |  |
| --- | --- |
| **Topic** | Anti-Money Laundering |
| **Title** | Anti-Money Laundering (“AML”) and Countering the Financing of Terrorism (“CFT”) Handbook; and Consultation on the Financial Services (Money Laundering and Financing of Terrorism) Rule Book [2008] |
| **Description** | Consultation on the Anti-Money Laundering (“AML”) and Countering the Financing of Terrorism (“CFT”) Handbook; and Consultation on the Financial Services (Money Laundering and Financing of Terrorism) Rule Book [2008] |
| **Consultation Period** | 21 September 2007 - 16 November 2007 |
| **Contact for Queries and Responses** | Mr Paul Heckles Head of Enforcement PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [paul.heckles@gov.im](mailto:paul.heckles@gov.im) Tel:01624 689310 Fax:01624 689399 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  | |  |  |  | | --- | --- | --- | | [Rule Book](http://www.iomfsa.im/lib/docs/iomfsa/consultations/financialservicesmoneylaundering.pdf) |  |  | | <https://www.iomfsa.im/media/2206/financialservicesmoneylaundering.pdf> |  |  | | [Handbook](http://www.iomfsa.im/lib/docs/iomfsa/consultations/antimoneylaunderingandcounteringfina.pdf) |  |  | | <https://www.iomfsa.im/media/2207/antimoneylaunderingandcounteringfina.pdf> |  |  | |  |  |  |  |  |  |  |  |  | | |

|  |  |  |
| --- | --- | --- |
| **Topic** | | CAROL 5 |
| **Title** | | CAROL 5 |
| **Description** | | Further Consultation on the Financial Resources and Audit Requirements Chapters of the Rule Book |
| **Consultation Period** | | 24 September 2007 - 16 November 2007 |
| **Contact for Queries and Responses** | | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  | | --- | --- | --- | | [CAROL 5 - Discussion document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol5consultpaper.pdf) |  |  | | <https://www.iomfsa.im/media/2208/carol5consultpaper.pdf> |  |  | | [CAROL 5 - Response on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol5summaryofresponsestobe.pdf) |  |  | | <https://www.iomfsa.im/media/2209/carol5summaryofresponsestobe.pdf> |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | | |

|  |  |  |
| --- | --- | --- |
| **Topic** | | Collective Investment Schemes |
| **Title** | | Collective Investment Schemes Bill 2007 |
| **Description** | | Consultation on the Collective Investment Schemes Bill 2007 |
| **Consultation Period** | | 28 September 2007 - 2 November 2007 |
| **Contact for Queries and Responses** | | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  | | --- | --- | --- | --- | --- | |  |  |  | [Consultation on the Collective Investment Schemes Bill 2007](http://www.iomfsa.im/lib/docs/iomfsa/consultations/consdoccisbill28sepfinaltext.pdf)  <https://www.iomfsa.im/media/2210/consdoccisbill28sepfinaltext.pdf> |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | | |

|  |  |
| --- | --- |
| **Topic** | Collective Investment Schemes |
| **Title** | Licensing Policy for certain Functionaries of Collective Investment Schemes |
| **Description** | Consultation on the Licensing Policy for certain Functionaries of Collective Investment Schemes |
| **Consultation Period** | 21 September 2007 - 19 October 2007 |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  | | --- | --- | --- | --- | --- | |  |  |  | [Discussion document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/licensingpolicyforcertainfunctio.pdf)  <https://www.iomfsa.im/media/2211/licensingpolicyforcertainfunctio.pdf> |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | Hedge Fund Valuation |
| **Title** | Policy Statement on Hedge Fund Valuation |
| **Description** | Policy Statement on Hedge Fund Valuation |
| **Consultation Period** | 2 August 2007 - 21 September 2007 |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [Discussion document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/aima.pdf) |  |  | |  |   <https://www.iomfsa.im/media/2212/aima.pdf> |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | Specialist and Qualifying Investor Funds |
| **Title** | Specialist and Qualifying Investor Funds |
| **Description** | Consultation on Specialist and Qualifying Investor Funds |
| **Consultation Period** | 1 August 2007 - 31 August 2007 |
| **Contact for Queries and Responses** | Mrs Claire Whitelegg Senior Policy Advisor PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [claire.whitelegg@iomfsa.im](mailto:claire.whitelegg@iomfsa.im) Tel:01624 689332 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [Specialist and Qualifying Investor Funds document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/schemesorder.pdf)  <https://www.iomfsa.im/media/2213/schemesorder.pdf> |  |  | |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 4 |
| **Title** | CAROL 4 |
| **Description** | Consultation on the Financial Resources and Audit Requirements Chapters of the Rule Book |
| **Consultation Period** | 16 April 2007 - 22 June 2007 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  | |  |  |  | | --- | --- | --- | | [CAROL 4 - Consultation paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol4consultationpaper.pdf)  <https://www.iomfsa.im/media/2214/carol4consultationpaper.pdf> |  |  | |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | Money Service Businesses |
| **Title** | Regulation of Money Service Businesses |
| **Description** | In recognition of emerging international practice, Isle of Man Treasury has asked the Financial Supervision Commission (“the Commission”) to consider an appropriate regulatory regime for money service businesses (“MSBs”) operating in or from the Island.  Based on analysis of the regulations applying in other jurisdictions and discussions with a number of interested parties, this document sets out the proposed approach to regulation of MSBs. We would welcome your comments on these proposals. |
| **Consultation Period** | 4 May 2007 - 17 June 2007 |
| **Contact for Queries and Responses** | Mr David Hodgson Manager, Authorisations PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [david.hodgson@iomfsa.im](mailto:david.hodgson@iomfsa.im) Tel:01624 698380 Fax:01624 698399 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | |  | |  |  | | --- | --- | | [Regulation of Money Service Businesses document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/msbinitialconsultationdraft12.pdf)  <https://www.iomfsa.im/media/2215/msbinitialconsultationdraft12.pdf> |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 3 |
| **Title** | CAROL 3 |
| **Description** | Consultation on the Financial Services Bill |
| **Consultation Period** | 21 December 2006 - 12 February 2007 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [CAROL 3 - consultation document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/aconsultativepaperonthefinancia.pdf) |  |  | | <https://www.iomfsa.im/media/2216/aconsultativepaperonthefinancia.pdf> |  |  | | [CAROL 3 - summary of responses](http://www.iomfsa.im/lib/docs/iomfsa/consultations/carol3websitesummaryresponses18.pdf) |  |  | | <https://www.iomfsa.im/media/2217/carol3websitesummaryresponses18.pdf> |  |  | | [CAROL 3 - Roadmap](http://www.iomfsa.im/lib/docs/iomfsa/consultations/appendixbroadmapofchangestoe.pdf)  <https://www.iomfsa.im/media/2218/appendixbroadmapofchangestoe.pdf> |  |  | |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | Electronic Money Providers |
| **Title** | A Discussion Paper on Proposals for the Regulation of Electronic Money Providers |
| **Description** | The Commission has been charged with the development of a regime to regulate electronic money (“e-money”) providers operating in or from the Island.  Based on analysis of the regulations applying in other jurisdictions and discussions with a number of interested parties, this document sets out the proposed approach to regulation of e-money. We would welcome your comments on these proposals. |
| **Consultation Period** | 21 December 2006 - 2 February 2007 |
| **Contact for Queries and Responses** | Mr David Hodgson Manager, Authorisations PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [david.hodgson@iomfsa.im](mailto:david.hodgson@iomfsa.im) Tel:01624 698380 Fax:01624 698399 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [Consultation document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/emoneydiscussiondocumentv10_ve.pdf) |  |  | | <https://www.iomfsa.im/media/2219/emoneydiscussiondocumentv10_ve.pdf>  [Response on document](http://www.iomfsa.im/lib/docs/iomfsa/consultations/responsestoelectronicmoneydiscus.pdf) |  |  | |  |  | | <https://www.iomfsa.im/media/2220/responsestoelectronicmoneydiscus.pdf> |  |  | |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 2 |
| **Title** | CAROL 2 |
| **Description** | Proposals for Regulated Activities, Exclusions and Exemptions |
| **Consultation Period** | 1 June 2006 - 31 July 2006 |
| **Contact for Queries and Responses** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  |  | [CAROL 2 - Consultative Paper - Regulated Activities, Exclusions and Exemptions](http://www.iomfsa.im/lib/docs/iomfsa/consultations/aconsultativepaperontheregulate.pdf)  <https://www.iomfsa.im/media/2221/aconsultativepaperontheregulate.pdf> |  |  | |  |  |  | [CAROL 2 - Regulated Activities](http://www.iomfsa.im/lib/docs/iomfsa/consultations/order.pdf)  <https://www.iomfsa.im/media/2222/order.pdf> |  |  | |  |  |  | [CAROL 2 - Exemption Regulations](http://www.iomfsa.im/lib/docs/iomfsa/consultations/exemptionregulations.pdf)  <https://www.iomfsa.im/media/2223/exemptionregulations.pdf> |  |  | |  |  |  | [CAROL 2 - Roadmap](http://www.iomfsa.im/lib/docs/iomfsa/consultations/roadmap.pdf)  <https://www.iomfsa.im/media/2224/roadmap.pdf> |  |  | |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |

|  |  |
| --- | --- |
| **Topic** | CAROL 1 |
| **Title** | CAROL 1 |
| **Description** | Review and Consultation of the Financial Services Regulatory Legislation |
| **Consultation Period** | 6 March 2006 - 28 April 2006 |
| **Contact for Queries** | Mrs Shirley Corlett Deputy Director - Policy and Legal PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [shirley.corlett@iomfsa.im](mailto:shirley.corlett@iomfsa.im) Tel:01624 689323 Fax:01624 689398 |
| **Contact for Responses** | Mrs Roxanne Oldham Head of Policy Division PO Box 58 Finch Hill House Douglas Isle of Man IM99 1DT Email: [roxanne.oldham@iomfsa.im](mailto:roxanne.oldham@iomfsa.im) Tel:01624 689334 Fax:01624 689398 |
| **Documents** | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  | |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | [CAROL 1 - Consultation paper](http://www.iomfsa.im/lib/docs/iomfsa/consultations/1stconsultation_paperissued6march200.pdf)  <https://www.iomfsa.im/media/2225/1stconsultation_paperissued6march200.pdf> |  |  | | [CAROL 1 - Results of consultation](http://www.iomfsa.im/lib/docs/iomfsa/consultations/1srconsultationresultsforwebsite.pdf) |  |  |   <https://www.iomfsa.im/media/2226/1srconsultationresultsforwebsite.pdf> |  | |  |  |  | |  |  | |  |  |  | | --- | --- | --- | |  |  |  | |  |  |  | |  |  |  |  |  |  |  |  |  | |